# 2011 State Teacher Policy Yearbook

Vermont

OVERALL GRADA



### Acknowledgments

#### **STATES**

State education agencies remain our most important partners in this effort, and their gracious cooperation has helped to ensure the factual accuracy of the final product. Every state formally received a draft of the *Yearbook* in July 2011 for comment and correction; states also received a final draft of their reports a month prior to release. All but one state responded to our inquiries. While states do not always agree with the recommendations, their willingness to acknowledge the imperfections of their teacher policies is an important first step toward reform.

We also thank the many state pension boards that reviewed our drafts and responded to our inquiries.

### **FUNDERS**

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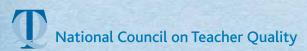
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### **Executive Summary**

For five years running, the National Council on Teacher Quality (NCTQ) has tracked states' teacher policies, preparing a detailed and thorough compendium of teacher policy in the United States on topics related to teacher preparation, licensure, evaluation, career advancement, tenure, compensation, pensions and dismissal.

The 2011 State Teacher Policy Yearbook includes NCTQ's biennial, full review of the state laws, rules and regulations that govern the teaching profession. This year's report measures state progress against a set of 36 policy goals focused on helping states put in place a comprehensive framework in support of preparing, retaining and rewarding effective teachers. For the first time, the Yearbook includes a progress rating for states on goals that have been measured over time. An overall progress ranking is also included, showing how states compare to each other in moving forward on their teacher policies.

## Vermont at a Glance

### Overall 2011 Yearbook Grade:



Overall 2009 Yearbook Grade: F

Area Grades	2011	2009
Area 1 Delivering Well Prepared Teachers	D+	D
Area 2 Expanding the Teaching Pool	F	D-
Area 3 Identifying Effective Teachers	F	F
Area 4 Retaining Effective Teachers	D-	D
Area 5 Exiting Ineffective Teachers	F	F

### **Overall Progress**

Progress ranking among states	46 <sup>th</sup>
Amount of progress compared to other states	Low

### How is **Vermont** Faring?

### **Area 1** Delivering Well Prepared Teachers

D+

### **Policy Strengths**

- Middle school teachers may not teach on a K-8 generalist license, and they must appropriately pass a single-subject content test.
- The state does not offer a K-12 special education certification.

### **Policy Weaknesses**

- Teacher candidates are not required to pass a test of academic proficiency as a criterion for admission to teacher preparation programs.
- Elementary teachers are not adequately prepared to teach the rigorous content associated with the Common Core Standards.
- Although teacher preparation programs are required to address the science of reading, candidates are not required to pass a test to ensure knowledge.
- Neither teacher preparation program nor licensure test requirements ensure that new elementary teachers are adequately prepared to teach mathematics.
- Although most secondary teachers must pass a content test to teach a core subject area, some secondary science and social studies teachers are not required to pass content tests for each discipline they intend to teach.
- A pedagogy test is not required as a condition of licensure.
- There are no requirements to ensure that student teachers are placed with cooperating teachers who were selected based on evidence of effectiveness.
- The teacher preparation program approval process does not hold programs accountable for the quality of the teachers they produce.

### **Area 2** Expanding the Pool of Teachers



### **Policy Strengths**

### **Policy Weaknesses**

- Admission criteria for the alternate route to certification are not sufficiently selective or flexible for nontraditional candidates.
- Alternate route preparation is not streamlined or geared toward the immediate needs of new teachers.
- Usage and providers of alternate routes are restricted.
- The state does not offer a license with minimal requirements that would allow content experts to teach part time.
- Out-of-state teachers are not required to meet the state's testing requirements, and there are additional obstacles that do not support licensure reciprocity.

### How is **Vermont** Faring?

### **Area 3** Identifying Effective Teachers

### **Policy Strengths**

### **Policy Weaknesses**

- The state data system does not have the capacity to provide evidence of teacher effectiveness.
- Objective evidence of student learning is not the preponderant criterion of teacher evaluations.
- There is no policy addressing the number of times teachers must be evaluated.
- Tenure decisions are not connected to evidence of teacher effectiveness.
- Licensure advancement and renewal are not based on teacher effectiveness.
- Little school-level data are reported that can help support the equitable distribution of teacher talent.

### **Area 4** Retaining Effective Teachers



### **Policy Strengths**

Districts are given full authority for how teachers are paid, although they are not discouraged from basing salary schedules solely on years of experience and advanced degrees.

### **Policy Weaknesses**

- All new teachers do not receive mentoring or other induction support.
- Professional development is not aligned with findings from teachers' evaluations.
- The state does not support performance pay or additional compensation for relevant prior work experience, working in high-need schools or teaching in shortage subject areas.
- Teachers are only offered a defined benefit pension plan, and pension policies are not portable, flexible or fair to all teachers.
- The pension system is significantly underfunded.
- Retirement benefits are determined by a formula that is not neutral, meaning that pension wealth does not accumulate uniformly for each year a teacher works.

### **Area 5** Exiting Ineffective Teachers



### **Policy Strengths**

### **Policy Weaknesses**

- Teachers can teach for up to two years before having to pass required subject-matter tests.
- There is no assurance that teachers who receive unsatisfactory evaluations will be placed on structured improvement plans or that they will be eligible for dismissal if they fail to improve.
- Ineffective classroom performance is not grounds for dismissal, and the state could do more to ensure that the appeal process for teacher dismissal occurs within a reasonable time frame.
- Performance is not considered in determining which teachers to lay off during reductions in force.

# **Vermont Goal Summary**

Goal Breakdown			
Best Practice	0	Area 3: Identifying Effective Teachers	
Fully Meets	1	3-A: State Data Systems	
Nearly Meets	0	3-B: Evaluation of Effectiveness	
Partially Meets	8	3-C: Frequency of Evaluations	
Only Meets a Small Part	9		
O Does Not Meet	18	3-D: Tenure	
Progress on Goals Since 2009  1  2  26  SOAL 7		3-E: Licensure Advancement	
1 V Z V ZO GOAL /		3-F: Equitable Distribution	
Area 1: Delivering Well Prepared Teachers		Area 4: Retaining Effective Teachers	
1-A: Admission into Preparation Programs	0	4-A: Induction	
1-B: Elementary Teacher Preparation	0	4-B: Professional Development	
1-C: Teacher Preparation in Reading Instruction	•	4-C: Pay Scales	
1-D: Teacher Preparation in Mathematics	0	4-D: Compensation for Prior Work Experience	
1-E: Middle School Teacher Preparation	•	4-E: Differential Pay	
1-F: Secondary Teacher Preparation		4-F: Performance Pay	
1-G: Secondary Teacher Preparation in Science		4-G: Pension Flexibility	
1-H: Secondary Teacher Preparation in Social Studies		4-H: Pension Sustainability	
1-I: Special Education Teacher Preparation	•	4-I: Pension Neutrality	
1-J: Assessing Professional Knowledge	0	Area 5: Exiting Ineffective Teachers	
1-K: Student Teaching		5-A: Licensure Loopholes	
1-L: Teacher Preparation Program		5-B: Unsatisfactory Evaluations	
Accountability  Area 2: Expanding the Pool of Teachers		5-C: Dismissal for Poor Performance	
2-A: Alternate Route Eligibility	0	5-D: Reductions in Force	
2-B: Alternate Route Preparation	0		
2-C: Alternate Route Usage and Providers	0		
2-D: Part Time Teaching Licenses	0		
2-E: Licensure Reciprocity	0		

### About the Yearbook

The National Council on Teacher Quality (NCTQ) has long argued that no educational improvement strategies states take on are likely to have a greater impact than policies that seek to maximize teacher effectiveness. In this fifth edition of the State Teacher Policy Yearbook, NCTQ provides a detailed examination of state laws, rules and regulations that govern the teaching profession, covering the full breadth of policies including teacher preparation, licensure, evaluation, career advancement, tenure, compensation, pensions and dismissal.

The Yearbook is a 52-volume compendium of customized state reports for the 50 states and the District of Columbia, as well as a national summary overview, measuring state progress against a set of 36 specific policy goals. All of the reports are available from NCTQ's website at www.nctq.org/stpy.

The 36 Yearbook goals are focused on helping states put in place a comprehensive policy framework in support of preparing, retaining and rewarding effective teachers. The goals were developed based on input and ongoing feedback from state officials, practitioners, policy groups and other education organizations, as well as from NCTQ's own nationally respected advisory board. These goals meet five criteria for an effective reform framework:

- 1. They are supported by a strong rationale, grounded in the best research available. The rationale and research citations supporting each goal can be found at www.nctq.org/stpy.
- 2. They offer practical rather than pie-in-the-sky solutions for improving teacher quality.
- 3. They take on the teaching profession's most pressing needs, including making the profession more responsive to the current labor market.
- 4. They are, for the most part, relatively cost neutral.
- 5. They respect the legitimate constraints that some states face so that the goals can work in all 50 states.

The need to ensure that all children have effective teachers has captured the attention of the public and policymakers across the country like never before. The Yearbook offers state school chiefs, school boards, legislatures and the many advocates who press hard for reform a concrete set of recommendations as they work to maximize teacher quality for their students.

### How to Read the Yearbook

NCTQ rates state teacher policy in several ways.

For each of the 36 individual teacher policy goals, states receive two ratings. The first rating indicates whether, or to what extent, a state has met the goal. NCTQ uses these familiar graphics to indicate the extent to which each goal has been met:









A new feature of this year's *Yearbook* is a progress rating for each goal NCTQ has measured over time. These ratings are intended to give states a meaningful sense of the changes in teacher policy since the 2009 *Yearbook* was published. Using the symbols below, NCTQ determines whether each state has advanced on the goal, if the state policy has remained unchanged, or if the state has actually lost ground on that topic.





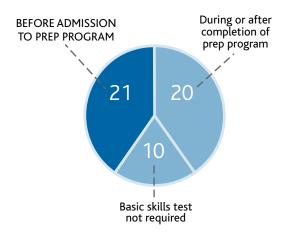


Some goals are marked with this symbol , which indicates that the bar has been raised for this goal since the 2009 *Yearbook*. With many states making considerable progress in advancing teacher effectiveness policy, NCTQ raised the standards for some goals where the bar had been quite low. As this may have a negative impact on some states' scores, those goals are always marked with the above symbol.

States receive grades in the five goal areas under which the 36 goals are organized: 1) delivering well prepared teachers; 2) expanding the pool of teachers; 3) identifying effective teachers; 4) retaining effective teachers and 5) exiting ineffective teachers. States also receive an overall grade that summarizes state performance across the five goal areas, giving an overall perspective on how states measure up against NCTQ benchmarks. New this year, states also receive an overall progress ranking, indicating how much progress each state has made compared to other states.

As always, the *Yearbook* provides a detailed narrative accounting of the policy strengths and weaknesses in each policy area for each state and for the nation as a whole. Best practices are highlighted. The reports are also chock full of reader-friendly charts and tables that provide a national perspective on each goal and serve as a quick reference on how states perform relative to one another, goal by goal.

Another new feature this year makes it easier to distinguish strong policies from weaker ones on our charts and tables. The policies NCTQ considers strong practices or the ideal policy positions for states are capitalized. This provides a quick thumbnail for readers to size up state policies against the policy option that aligns with NCTQ benchmarks for meeting each policy goal. For example, on the chart below, "BEFORE ADMISSION TO PREP PROGRAM" is capitalized, as that is the optimal timing for testing teacher candidates' academic proficiency.



### Goals

### AREA 1: DELIVERING WELL PREPARED TEACHERS

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### 1-A: Admission into Preparation Programs

The state should require undergraduate teacher preparation programs to admit only candidates with good academic records.

### 1-B: Elementary Teacher Preparation

The state should ensure that its teacher preparation programs provide elementary teachers with a broad liberal arts education, the necessary foundation for teaching to the Common Core Standards.

#### 1-C: Teacher Preparation in Reading Instruction

The state should ensure that new elementary teachers know the science of reading instruction.

### 1-D: Teacher Preparation in Mathematics

The state should ensure that new elementary teachers have sufficient knowledge of the mathematics content taught in elementary grades.

### 1-E: Middle School Teacher Preparation

The state should ensure that middle school teachers are sufficiently prepared to teach appropriate grade-level content.

### 1-F: Secondary Teacher Preparation

The state should ensure that secondary teachers are sufficiently prepared to teach appropriate grade-level content.

### 1-G: Secondary Teacher Preparation in Science

The state should ensure that science teachers know all the subject matter they are licensed to teach.

### 1-H: Secondary Teacher Preparation in Social Studies

The state should ensure that social studies teachers know all the subject matter they are licensed to teach.

### 1-I: Special Education Teacher Preparation

The state should ensure that special education teachers know the subject matter they will be required to teach.

#### 1-I: Assessing Professional Knowledge

The state should use a licensing test to verify that all new teachers meet its professional standards.

#### 1-K: Student Teaching

The state should ensure that teacher preparation programs provide teacher candidates with a high-quality clinical experience.

### 1-L: Teacher Preparation Program Accountability

The state's approval process for teacher preparation programs should hold programs accountable for the quality of the teachers they produce.

### AREA 2: EXPANDING THE POOL OF TEACHERS

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#### 2-A: Alternate Route Eligibility

The state should require alternate route programs to exceed the admission requirements of traditional preparation programs while also being flexible to the needs of nontraditional candidates.

### 2-B: Alternate Route Preparation

The state should ensure that its alternate routes provide streamlined preparation that is relevant to the immediate needs of new teachers.

#### 2-C: Alternate Route Usage and Providers

The state should provide an alternate route that is free from regulatory obstacles that limit its usage and providers.

### 2-D: Part Time Teaching Licenses

The state should offer a license with minimal requirements that allows content experts to teach part time.

### 2-E: Licensure Reciprocity

The state should help to make licenses fully portable among states, with appropriate safeguards.

#### **AREA 3: IDENTIFYING EFFECTIVE TEACHERS**

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### 3-A: State Data Systems

The state should have a data system that contributes some of the evidence needed to assess teacher effectiveness.

#### 3-B: Evaluation of Effectiveness

The state should require instructional effectiveness to be the preponderant criterion of any teacher evaluation.

### 3-C: Frequency of Evaluations

The state should require annual evaluations of all teachers.

#### 3-D: Tenure

The state should require that tenure decisions are based on evidence of teacher effectiveness.

### 3-E: Licensure Advancement

The state should base licensure advancement on evidence of teacher effectiveness.

#### 3-F: Equitable Distribution

The state should publicly report districts' distribution of teacher talent among schools to identify inequities in schools serving disadvantaged children.

### **AREA 4: RETAINING EFFECTIVE TEACHERS**

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#### 4-A: Induction

The state should require effective induction for all new teachers, with special emphasis on teachers in high-need schools.

### 4-B: Professional Development

The state should require professional development to be based on needs identified through teacher evaluations.

### 4-C: Pay Scales

The state should give local districts authority over pay scales.

### 4-D: Compensation for Prior Work Experience

The state should encourage districts to provide compensation for related prior subject-area work experience.

### 4-E: Differential Pay

The state should support differential pay for effective teaching in shortage and high-need areas.

#### 4-F: Performance Pay

The state should support performance pay but in a manner that recognizes its appropriate uses and limitations.

### 4-G: Pension Flexibility

The state should ensure that pension systems are portable, flexible and fair to all teachers.

### 4-H: Pension Sustainability

The state should ensure that excessive resources are not committed to funding teachers' pension systems.

### 4-I: Pension Neutrality

The state should ensure that pension systems are neutral, uniformly increasing pension wealth with each additional year of work.

#### **AREA 5: EXITING INEFFECTIVE TEACHERS**

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### 5-A: Licensure Loopholes

The state should close loopholes that allow teachers who have not met licensure requirements to continue teaching.

### 5-B: Unsatisfactory Evaluations

The state should articulate consequences for teachers with unsatisfactory evaluations, including specifying that teachers with multiple unsatisfactory evaluations should be eligible for dismissal.

### 5-C: Dismissal for Poor Performance

The state should articulate that ineffective classroom performance is grounds for dismissal and ensure that the process for terminating ineffective teachers is expedient and fair to all parties.

#### 5-D: Reductions in Force

The state should require that its school districts consider classroom performance as a factor in determining which teachers are laid off when a reduction in force is necessary.

### Goal A – Admission into Preparation Programs

The state should require undergraduate teacher preparation programs to admit only candidates with good academic records.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- The state should require teacher candidates to pass a test of academic proficiency that assesses reading, writing and mathematics skills as a criterion for admission to teacher preparation programs.
- 2. All preparation programs in a state should use a common admissions test to facilitate program comparison, and the test should allow comparison of applicants to the general college-going population and selection of applicants in the top half of that population.
- 3. Programs should have the option of exempting candidates from this test who submit comparable SAT or ACT scores at a level set by the state.



The components for this goal have changed since 2009. In light of state progress on this topic, the bar for this goal has been raised.

### **Background**

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.



### Area 1: Goal A **Vermont** Analysis







#### **ANALYSIS**

Vermont does not require aspiring teachers to pass a test of academic proficiency as a criterion for admission to teacher preparation programs, instead delaying its basic skills assessment until teacher candidates are ready to apply for licensure.

### **Supporting Research**

Vermont Standards Board for Professional Education 5922

 $http://education.vermont.gov/new/pdfdoc/pgm\_prostandards/vsbpe/rules/educ\_5100\_licensing\_regulations.$ pdf#page=37

#### **RECOMMENDATION**

 Require teacher candidates to pass a test of academic proficiency that assesses reading, writing and mathematics skills as a criterion for admission to teacher preparation programs.

Teacher preparation programs that do not screen candidates end up investing considerable resources in individuals who may not be able to successfully complete the program and pass licensing tests. Candidates needing additional support should complete remediation prior to program entry, avoiding the possibility of an unsuccessful investment of significant public tax dollars.

Require preparation programs to use a common test normed to the general college-bound population.

The basic skills tests in use in most states largely assess middle school-level skills. To improve the selectivity of teacher candidates—a common characteristic in countries whose students consistently outperform ours in international comparisons—Vermont should require an assessment that demonstrates that candidates are academically competitive with all peers, regardless of their intended profession. Requiring a common test normed to the general college population would allow for the selection of applicants in the top half of their class, as well as facilitate program comparison.

Exempt candidates with comparable SAT or ACT scores.

Vermont should waive the basic skills test requirement for candidates whose SAT or ACT scores demonstrate that they are in the top half of their class.

### **VERMONT RESPONSE TO ANALYSIS**

Vermont asserted that qualifying SAT, ACT or GRE scores are accepted in lieu of Praxis I tests if candidates meet the minimum and combined scores.

### Supporting Research

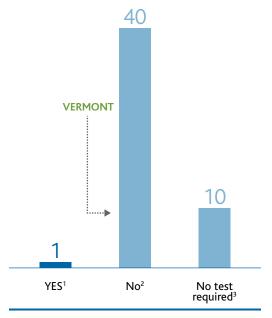
http://education.vermont.gov/new/pdfdoc/licensing/testing/educ\_licensing\_praxis\_brochure.pdf

### **\*** EXAMPLES OF BEST PRACTICE

Although there are a number of states that require teacher candidates to pass a basic skills test as a criterion for admission to a preparation program, **Texas** is the only state that requires a test of academic proficiency normed to the general college bound population rather than just to prospective teachers. In addition, the state's minimum scores for admission appear to be relatively selective when compared to other tests used across the country.

Figure 2

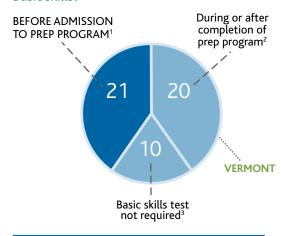
Do states require a test of academic proficiency that is normed to the general college-going population?



### 1. Strong Practice: Texas

- 2. Alabama, Alaska, Arkansas, California, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Illinois, Indiana, Iowa, Louisiana, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Nebraska, Newada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, Tennessee, Vermont, Virginia, Washington, West Virginia, Wisconsin
- 3. Arizona, Colorado, Idaho, Kansas, Kentucky, Montana, Ohio, South Dakota, Utah, Wyoming

Figure 3
When do states test teacher candidates' basic skills?

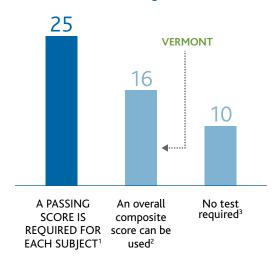


- Strong Practice: Arkansas, Connecticut, Florida, Georgia, Hawaii, Illinois, Indiana, Iowa, Louisiana, Mississippi, Missouri, Nebraska, North Carolina, Rhode Island, South Carolina, Tennessee, Texas, Virginia, Washington, West Virginia, Wisconsin
- Alabama, Alaska, California, Delaware, District of Columbia, Maine, Maryland, Massachussets, Michigan, Minnesota, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Dakota, Oklahoma, Oregon, Pennsylvania, Vermont
- 3. Arizona, Colorado, Idaho, Kansas, Kentucky, Montana, Ohio, South Dakota, Utah, Wyoming

TESTNORME TO COLLEGE
ADMISSION TO PREPROPER Figure 4 Do states appropriately test teacher candidates' academic proficiency? Alabama Alaska Arizona П П П Arkansas California Colorado Connecticut Delaware П District of Columbia Florida П П Georgia Hawaii Idaho Illinois Indiana Iowa Kansas Kentucky Louisiana Maine Maryland П Massachusetts Michigan Minnesota Mississippi П Missouri Montana Nebraska Nevada New Hampshire New Jersey New Mexico П New York North Carolina North Dakota Ohio Oklahoma Oregon Pennsylvania Rhode Island South Carolina South Dakota Tennessee П П П Texas Utah **VERMONT** Virginia П Washington West Virginia Wisconsin Wyoming 1 20 20 10

Figure 5

Do states measure performance in reading, mathematics and writing?



- 1. Strong Practice: Alabama, Alaska, Arkansas, Connecticut, Delaware, Florida, Georgia, Illinois, Louisiana, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Nebraska, Nevada, New Jersey, Oklahoma, Oregon, South Carolina, Tennessee, Texas, Washington, West Virginia, Wisconsin
- California<sup>4</sup>, District of Columbia<sup>4</sup>, Hawaii<sup>4</sup>, Indiana, Iowa, Maine<sup>4</sup>, Maryland, New Hampshire<sup>4</sup>, New Mexico, New York, North Carolina, North Dakota<sup>5</sup>, Pennsylvania<sup>4</sup>, Rhode Island<sup>4</sup>, Vermont, Virginia
- 3. Arizona, Colorado, Idaho, Kansas, Kentucky, Montana, Ohio, South Dakota, Utah, Wyoming
- 4. Minimum score must be met in each section.
- Composite score can only be used if passing score is met on two of three subtests.

### Goal B – Elementary Teacher Preparation

The state should ensure that its teacher preparation programs provide elementary teachers with a broad liberal arts education, the necessary foundation for teaching to the Common Core Standards.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should require that its approved teacher preparation programs deliver a comprehensive program of study in broad liberal arts coursework. An adequate curriculum is likely to require approximately 36 credit hours to ensure appropriate depth in the core subject areas of English, science, social studies and fine arts. (Mathematics preparation for elementary teachers is discussed in Goal 1-D.)
- 2. The state should require elementary teacher candidates to pass a subject-matter test designed to ensure sufficient content knowledge of all subjects.
- 3. The state should require elementary teacher candidates to complete a content specialization in an academic subject area. In addition to enhancing content knowledge, this requirement also ensures that prospective teachers have taken higher level academic coursework.
- 4. Arts and sciences faculty, rather than education faculty, should in most cases teach liberal arts coursework to teacher candidates.

### **Background**

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.



### Area 1: Goal B **Vermont** Analysis



State Does Not Meet Goal



Progress Since 2009

#### **ANALYSIS**

Although Vermont has adopted the Common Core Standards, the state does not ensure that its elementary teacher candidates are adequately prepared to teach the rigorous content associated with these standards.

Vermont requires candidates to pass the Praxis II general elementary content test, which does not report teacher performance in each subject area, meaning that it is possible to pass the test and still fail some subject areas, especially given the state's low passing score. Further, based on available information on the Praxis II, there is no reason to expect that the current version would be well aligned with the Common Core Standards.

The state does not specify any coursework requirements for general education or elementary teacher candidates, except to require that all teacher candidates in Vermont must complete a major in the liberal arts and sciences or in the content area of the endorsement sought. Although this provides an option for teacher candidates unable to fulfill student teaching or other professional requirements to still earn a degree, it offers little assurance that the courses chosen by elementary teacher candidates will prepare them in the topics relevant to the PK-6 classroom.

Vermont has articulated elementary teaching standards that allude to important areas of academic knowledge. For example, the state's science standards make mention of life sciences; physical sciences; and earth, environmental and atmospheric sciences. However, Vermont's standards lack specificity, leaving gaps in a number of important areas such as American, world, British and children's literature; world history; and art history.

Finally, there is no assurance that arts and sciences faculty will teach liberal arts classes to elementary teacher candidates.

### **Supporting Research**

Supplement A (5440) to the Vermont Standards Board for Professional Education Manual of Rules Licensing Regulations Rule 5231
Praxis II
www.ets.org

### **RECOMMENDATION**

### Require a content test that ensures sufficient knowledge in all subjects.

Vermont should ensure that its subject-matter test for elementary teacher candidates is well aligned with the Common Core Standards, which represent an effort to significantly raise the standards for the knowledge and skills American students will need for college readiness and global competitiveness.

The state should also require separate passing scores for each content area on the test because without them it is impossible to measure knowledge of individual subjects. Further, to be meaningful, Vermont should ensure that these passing scores reflect high levels of performance.

### Provide broad liberal arts coursework relevant to the elementary classroom.

Vermont should either articulate a more specific set of standards or establish more comprehensive

coursework requirements that are specifically geared to the areas of knowledge needed by PK-6 teachers. Further, the state should align its requirements for elementary teacher candidates with the Common Core Standards to ensure that candidates will complete coursework relevant to the common topics in elementary grades. An adequate curriculum is likely to require approximately 36 credit hours in the core subject areas of English, science, social studies and fine arts.

### Require at least an academic concentration.

Although Vermont's policy requires that elementary teacher candidates have an arts and sciences major, the state's language does not ensure that these teachers will earn a content specialization in an academic subject area.

### ■ Ensure arts and sciences faculty teach liberal arts coursework.

Although an education professor is best suited to teach effective methodologies in subject instruction, faculty from the university's college of arts and sciences should provide subject-matter foundation.

#### **VERMONT RESPONSE TO ANALYSIS**

Vermont asserted that NCTQ's analysis is both misleading and inaccurate. The state pointed out that its cut score for the Praxis II elementary content test is higher than the average for the 26 states that use this test: The average is 145.7; Vermont's cut score is 148. Further, all teachers are required to hold baccalaureate degrees from regionally accredited or state-approved institutions and must have successfully completed a major, or its equivalent, in the liberal arts and sciences, or in the content area of the endorsement sought, which would necessitate that candidates be taught by faculty in the liberal arts and sciences.

### **LAST WORD**

Although Vermont's cut score for the Praxis II elementary content test ranks high among other states, it is still nearly one full standard deviation below the mean of all test takers, according to the latest Praxis Technical Manual from ETS (See Figure 7). Also, Vermont's requirement of a liberal arts and sciences major does not ensure that candidates will complete an academic concentration.

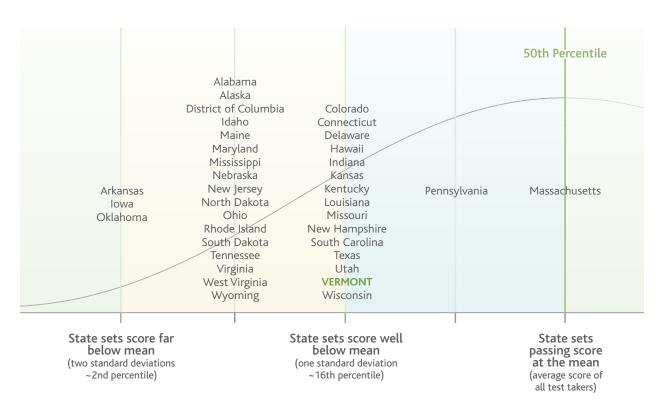
### **Supporting Research**

http://www.ets.org/Media/Tests/PRAXIS/pdf/PraxisTechnicalManual.pdf



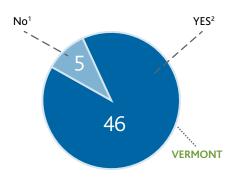
Although no state meets this goal, three states have noteworthy policies. Massachusetts's testing requirements, which are based on the state's curriculum, ensure that elementary teachers are provided with a broad liberal arts education. Indiana and Utah are the first two states to adopt the new Praxis II "Elementary Education: Multiple Subjects" content test, which requires candidates to pass separately scored subtests in reading/language arts, mathematics, social studies and science.

Figure 7
Where do states set the passing score on elementary content licensure tests<sup>1</sup>?



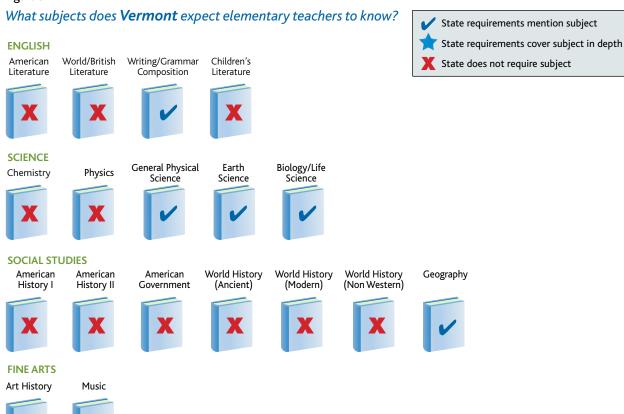
<sup>1</sup> Based on the most recent technical data that could be obtained; data not available for Arizona, California, Florida, Georgia, Illinois, Michigan, Minnesota, New Mexico, New York, North Carolina, Oregon and Washington. Montana and Nebraska do not require a content test. Colorado score is for Praxis II, not PLACE. Indiana, Maryland, Nevada, South Carolina and Utah now require new Praxis tests for which the technical data are not yet available; analysis is based on previously required test.

Figure 8 Have states adopted the K-12 Common Core State Standards?



<sup>1.</sup> Alaska, Minnesota, Nebraska, Texas, Virginia

Figure 9



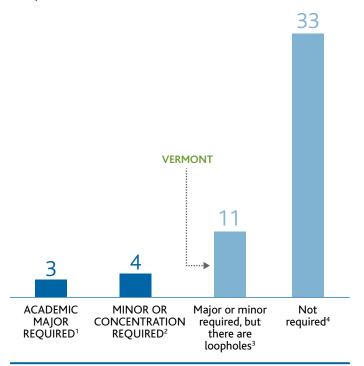
<sup>2.</sup> Strong Practice: Alabama, Arizona, Arkansas, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Michigan, Mississippi, Missouri, Montana, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Utah, Vermont, Washington, West Virginia, Wisconsin, Wyoming

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Wyoming																			

■ Subject mentioned ★ Subject covered in depth

Figure 11

Do states expect elementary teachers to complete an academic concentration?



- 1. Strong Practice: Colorado, Massachusetts, New Mexico
- 2. Strong Practice: Indiana, Mississippi, New Hampshire, Oklahoma
- California, Connecticut, Iowa, Michigan, Missouri,
   New Jersey, New York, Tennessee, Texas, Vermont, Virginia
   These states require a major, minor or concentration but
   there is no assurance it will be in an academic subject area.
- 4. Alabama, Alaska, Arizona, Arkansas, Delaware, District of Columbia, Florida, Georgia, Hawaii, Idaho, Illinois, Kansas, Kentucky, Louisiana, Maine, Maryland, Minnesota, Montana, Nebraska, Nevada, North Carolina, North Dakota, Ohio, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Utah, Washington, West Virginia, Wisconsin, Wyoming

### Goal C – Elementary Teacher Preparation in Reading Instruction

The state should ensure that new elementary teachers know the science of reading instruction.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- To ensure that teacher preparation programs adequately prepare candidates in the science of reading instruction, the state should require that these programs train teachers in the five instructional components shown by scientifically based reading research to be essential to teaching children to read.
- The state should require that new elementary teachers pass a rigorous test of reading instruction in order to attain licensure.
   The design of the test should ensure that prospective teachers cannot pass without knowing the science of reading instruction.

### Background

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.



### Area 1: Goal C **Vermont** Analysis



State Partly Meets Goal



Progress Since 2009

#### **ANALYSIS**

In its standards for elementary teacher preparation, Vermont requires teacher preparation programs to address the science of reading. Programs must provide training in the five instructional components of scientifically based reading instruction: phonemic awareness, phonics, fluency, vocabulary and comprehension.

However, Vermont does not require teacher candidates to pass a reading assessment prior to certification or at any point thereafter to verify that they have been effectively trained in the science of reading instruction.

### **Supporting Research**

Supplement A (5440) to the Vermont Standards Board for Professional Education Manual of Rules

#### **RECOMMENDATION**

Require teacher candidates to pass a rigorous assessment in the science of reading instruction.

Although Vermont is commended for requiring teacher preparation programs to address the science of reading, Vermont should also require a rigorous reading assessment tool to ensure that its elementary teacher candidates are adequately prepared in the science of reading instruction before entering the classroom. The assessment should clearly test knowledge and skills related to the science of reading, and if it is combined with an assessment that also tests general pedagogy or elementary content, it should report a subscore for the science of reading specifically. Elementary teachers who do not possess the minimum knowledge in this area should not be eligible for licensure.

#### **VERMONT RESPONSE TO ANALYSIS**

Vermont recognized the factual accuracy of this analysis. The state added that it is considering the adoption of new Praxis II elementary content tests.



### **T** EXAMPLES OF BEST PRACTICE

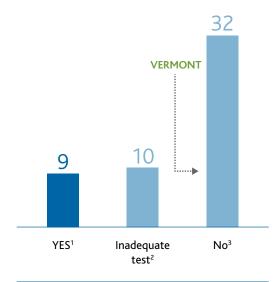
Eight states meet this goal by requiring that preparation programs for elementary teacher candidates address the science of reading and requiring that candidates pass comprehensive assessments that specifically test the five elements of instruction: phonemic awareness, phonics, fluency, vocabulary and comprehension. Independent reviews of the assessments used by Connecticut, Massachusetts and Virginia confirm that these tests are rigorous measures of teacher candidates' knowledge of scientifically based reading instruction.

Figure 13 Do states require preparation for elementary teachers in the science of reading?



- 1. Strong Practice: Alabama, Arkansas, California, Colorado, Connecticut, Florida, Georgia, Idaho, Indiana, Louisiana, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Ohio, Oklahoma, Oregon, Pennsylvania, Tennessee, Texas, Vermont, Virginia, Washington, West Virginia
- 2. Alaska, Arizona, Delaware, District of Columbia, Hawaii, Illinois, Iowa, Kansas, Kentucky, Maine, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Rhode Island, South Carolina,  $South\, Dakota, Uta\, h, Wisconsin, Wyoming$

Figure 14 Do states measure new teachers' knowledge of the science of reading?



- 1. Strong Practice: Alabama, Connecticut, Massachusetts, Minnesota<sup>4</sup>, New Mexico<sup>5</sup>, Oklahoma, Pennsylvania<sup>5</sup>, Tennessee,
- 2. Arkansas, California, Florida, Georgia, Idaho, Indiana, Missouri, New York, Oregon, Texas
- 3. Alaska, Arizona, Colorado, Delaware, District of Columbia, Hawaii, Illinois, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Michigan, Mississippi, Montana, Nebraska, Nevada, New Hampshire, New Jersey, North Carolina, North Dakota, Ohio, Rhode Island, South Carolina, South Dakota, Utah, Vermont, Washington, West Virginia, Wisconsin, Wyoming
- 4. Based on the limited information available about the test on the state's website.
- 5. Test is under development and not yet available for review.

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<sup>1.</sup> Based on the limited information available about the test on the state's website.

<sup>2.</sup> Test is under development and not yet available for review.

### Goal D – Elementary Teacher Preparation in Mathematics

The state should ensure that new elementary teachers have sufficient knowledge of the mathematics content taught in elementary grades.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- The state should require teacher preparation programs to deliver mathematics content of appropriate breadth and depth to elementary teacher candidates. This content should be specific to the needs of the elementary teacher (i.e., foundations, algebra and geometry with some statistics).
- 2. The state should require elementary teacher candidates to pass a rigorous test of mathematics content in order to attain licensure.
- Such test can also be used to test out of course requirements and should be designed to ensure that prospective teachers cannot pass without sufficient knowledge of mathematics.

### **Background**

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.



### Area 1: Goal D **Vermont** Analysis



State Meets a Small Part of Goal



**Progress Since 2009** 

#### **ANALYSIS**

Vermont relies on its standards for teacher preparation programs as the basis for articulating its requirements for the mathematics content knowledge of elementary teacher candidates.

The state does not specify any coursework requirements regarding mathematics content. However, Vermont has articulated teaching standards that its approved teacher preparation programs must use to frame instruction in elementary mathematics content. The state's standards appropriately address content in mathematics foundations, but although they mention such areas as algebra, geometry and statistics, the standards lack the specificity needed to ensure that teacher preparation programs deliver this mathematics content of appropriate breadth and depth to elementary teacher candidates.

Vermont also requires that all new elementary teachers pass a general subject-matter test, the Praxis II. This commercial test lacks a specific mathematics subscore, so one can likely fail the mathematics portion and still pass the test. Further, while this test does cover important elementary school-level content, it barely evaluates candidates' knowledge beyond an elementary school level, does not challenge their understanding of underlying concepts and does not require candidates to apply knowledge in nonroutine, multistep procedures.

### Supporting Research

Supplement A (5440) to the Vermont Standards Board for Professional Education Manual of Rules www.ets.org/praxis

"No Common Denominator: The Preparation of Elementary Teachers in Mathematics by America's Education Schools," NCTQ, June 2008 http://www.nctq.org/p/publications/docs/nctq\_ttmath\_fullreport.pdf

### **RECOMMENDATION**

■ Require teacher preparation programs to provide mathematics content specifically geared to the needs of elementary teachers.

Although Vermont requires knowledge in some key areas of mathematics, the state should require teacher preparation programs to provide mathematics content specifically geared to the needs of elementary teachers. This includes specific coursework in foundations, algebra and geometry, with some statistics.

Require teacher candidates to pass a rigorous mathematics assessment.

Vermont should assess mathematics content with a rigorous assessment tool, such as the test required in Massachusetts, that evaluates mathematics knowledge beyond an elementary school level and challenges candidates' understanding of underlying mathematics concepts. Such a test could also be used to allow candidates to test out of coursework requirements. Teacher candidates who lack minimum mathematics knowledge should not be eligible for licensure.

### **VERMONT RESPONSE TO ANALYSIS**

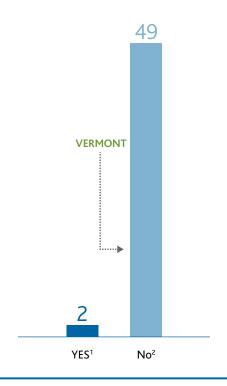
Vermont recognized the factual accuracy of this analysis. The state added that it is considering the adoption of new Praxis II elementary content tests.



### **T** EXAMPLES OF BEST PRACTICE

Massachusetts is the only state that ensures that its elementary teachers have sufficient knowledge of mathematics content. As part of its general curriculum test, the state utilizes a separately scored mathematics subtest that covers topics specifically geared to the needs of elementary teachers.

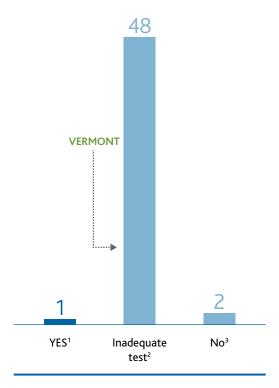
Figure 17 Do states articulate appropriate mathematics preparation for elementary teachers?



#### 1. Strong Practice: Indiana, Massachusetts

2. Alabama, Alaska, Arizona, Arkansas, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Idaho, Illinois, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin, Wyoming

Figure 18 Do states measure new elementary teachers' knowledge of math?



### 1. Strong Practice: Massachusetts

- 2. Alabama, Alaska, Arizona, Arkansas, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Michigan, Minnesota, Mississippi, Missouri, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin, Wyoming
- 3. Montana, Nebraska

### Goal E - Middle School Teacher Preparation

The state should ensure that middle school teachers are sufficiently prepared to teach appropriate grade-level content.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- The state should encourage middle school candidates who intend to teach multiple subjects to earn minors in two core academic areas rather than earn a single major. Middle school candidates intending to teach a single subject area should earn a major in that area.
- The state should not permit middle school teachers to teach on a generalist license that does not differentiate between the preparation of middle school teachers and that of elementary teachers.
- 3. The state should require that new middle school teachers pass a licensing test in every core academic area they intend to teach.

### **Background**

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.



### Area 1: Goal E **Vermont** Analysis



State Partly Meets Goal



Progress Since 2009

#### **ANALYSIS**

Vermont requires a middle grades (grades 5-9) endorsement for middle school teachers. Candidates must earn a minor in the content area to be taught.

All new middle school teachers in Vermont are also required to pass a single-subject Praxis II content test to attain licensure; a general content knowledge test is not an option.

### **Supporting Research**

Supplement A (5440-19) to the Vermont Standards Board for Professional Education Manual of Rules http://education.vermont.gov/new/html/licensing/regulations\_endorsements.html

www.ets.org/praxis

#### **RECOMMENDATION**

Strengthen middle school teachers' subject-matter preparation.

Although Vermont is commended for not allowing middle school teachers to teach on a K-8 generalist license, it should strengthen middle school teachers' subject-matter preparation. Vermont should encourage middle school teachers who plan to teach multiple subjects to earn two minors in two core academic areas. Middle school candidates who intend to teach a single subject should earn a major in that area.

### **VERMONT RESPONSE TO ANALYSIS**

Vermont reiterated that middle school teachers who plan to teach multiple subjects must hold a minor in one subject area and pass a content test in each subject area they plan to teach.



### **EXAMPLES OF BEST PRACTICE**

Arkansas, Georgia and Pennsylvania ensure that all middle school teachers are sufficiently prepared to teach middle school-level content. Teachers are required to earn at least two content-area minors. Georgia and Pennsylvania also require passing scores on single-subject content tests, and Arkansas requires a subject-matter assessment with separate passing scores for each academic area.

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West Virginia			
Wisconsin			5
Wyoming			

<sup>1.</sup> California offers a K-12 generalist license for self-contained classrooms.

<sup>2.</sup> Illinois offers K-9 license.

<sup>3.</sup> With the exception of mathematics.

<sup>4.</sup> Oregon offers 3-8 license.

<sup>5.</sup> Wisconsin offers 1-8 license.

Figure 21	41	MAJOR OR TW	SAC	Less than a major or	No requirement of content
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Nebraska			1		
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Ohio			1		
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<sup>1.</sup> State does not explicitly require two minors, but it has equivalent requirements.

Pennsylvania has two options. One option requires a 30 credit concentration in one subject and nearly a minor (12 credits) in three additional subjects; the second option is 21 credits in two subject-area concentrations with 12 credits in two additional subjects.

### Goal F – Secondary Teacher Preparation

The state should ensure that secondary teachers are sufficiently prepared to teach appropriate grade-level content.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should require that secondary teachers pass a licensing test in every subject they intend to teach.
- 2. The state should require that secondary teachers pass a content test when adding subject-area endorsements to an existing license.

### Background

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.

### Figure 22 How States are Faring in Secondary Teacher Preparation **Best Practice States** Indiana, Tennessee 29 States Meet Goal Alabama, Arkansas, Connecticut, Delaware, Florida, Georgia, Idaho, Illinois, Kansas, Kentucky, Maine, Massachusetts, Michigan, Minnesota, New Jersey, New York, North Dakota, Ohio, Oklahoma, Pennsylvania, South Carolina, South Dakota, Texas, Utah, **VERMONT**, Virginia, Washington, West Virginia, Wisconsin States Nearly Meet Goal States Partly Meet Goal District of Columbia, Hawaii, Louisiana, Maryland, Mississippi, Missouri, Nevada, New Mexico States Meet a Small Part of Goal 12 States Do Not Meet Goal Alaska, Arizona, California, Colorado, Iowa, Montana, Nebraska, New Hampshire, North Carolina, Oregon, Rhode Island, Wyoming Progress on this Goal Since 2009: **New Goal**

### Area 1: Goal F **Vermont** Analysis



State Meets Goal



Progress Since 2009

#### **ANALYSIS**

Vermont requires that its secondary teacher candidates pass a Praxis II content test to teach any core secondary subjects. Unfortunately, Vermont permits a significant loophole to this important policy by allowing both general science and general social studies licenses, without requiring subject-matter testing for each subject area within these disciplines (see Goals 1-G and 1-H).

Further, to add an additional field to a secondary license, teachers must also pass a Praxis II content test. However, as stated above, Vermont cannot guarantee content knowledge in each specific subject for those secondary teachers who add general science or general social studies endorsements.

### **Supporting Research**

**Testing Requirements** 

http://education.vermont.gov/new/pdfdoc/licensing/testing/educ\_licensing\_praxis\_brochure.pdf

#### RECOMMENDATION

### Require subject-matter testing for all secondary teacher candidates.

Vermont wisely requires subject-matter tests for most secondary teachers but should address any loopholes that undermine this policy (see Goals 1-G and 1-H). This applies to the addition of endorsements as well.

#### **VERMONT RESPONSE TO ANALYSIS**

Vermont pointed out that while it only requires a general social studies test, the state does require science teachers to pass both the general test plus an additional subject-specific test. Vermont added that it also requires coursework in all subject areas for science and social studies in order to receive a recommendation.

### **LAST WORD**

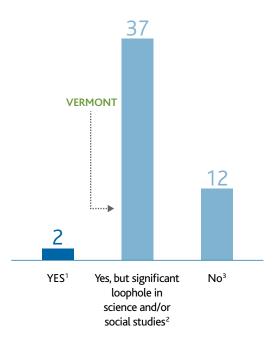
NCTQ offers a full analysis of Vermont's general science and general social studies requirements in Goals 1-G and 1-H, respectively.



### **T** EXAMPLES OF BEST PRACTICE

Not only do Indiana and Tennessee require that secondary teacher candidates pass a content test to teach any core secondary subjects, but these states also do not permit any significant loopholes to this important policy by allowing secondary general science or social studies licenses (see Goals 1-G and 1-H).

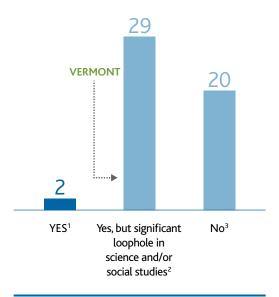
Figure 23 Do all secondary teachers have to pass a content test in every subject area for licensure?



### 1. Strong Practice: Indiana, Tennessee

- 2. Alabama, Arkansas, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Idaho, Illinois, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Nevada, New Jersey, New Mexico, New York, North Dakota, Ohio, Oklahoma, Pennsylvania, South Carolina, South Dakota, Texas, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin. (For more on loopholes, see Goals 1-G and 1-H.)
- 3. Alaska, Arizona, California, Colorado, Iowa, Montana, Nebraska, New Hampshire, North Carolina, Oregon, Rhode Island, Wyoming

Figure 24 Do all secondary teachers have to pass a content test in every subject area to add an endorsement?



#### 1. Strong Practice: Indiana, Tennessee

- 2. Alabama, Arkansas, Connecticut, Delaware, Florida, Georgia, Idaho, Illinois, Kansas, Kentucky, Maine, Massachusetts, Michigan, Minnesota, New Jersey, New York, North Dakota, Ohio, Oklahoma, Pennsylvania, South Carolina, South Dakota, Texas, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin. (For more on loopholes, see Goals 1-G and 1-H.)
- 3. Alaska, Arizona, California, Colorado, District of Columbia, Hawaii, Iowa, Louisiana, Maryland, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Mexico, North Carolina, Oregon, Rhode Island, Wyoming

### Goal G – Secondary Teacher Preparation in Science

The state should ensure that science teachers know all the subject matter they are licensed to teach.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should require secondary science teachers to pass a subject-matter test of each science discipline they intend to teach.
- 2. The state should require middle school science teachers to pass a subject-matter test designed to ensure that prospective teachers cannot pass without sufficient knowledge of science.

### **Background**

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.



## Area 1: Goal G **Vermont** Analysis



State Partly Meets Goal



Progress Since 2009

#### **ANALYSIS**

Vermont offers a secondary endorsement in general science. Candidates are required to earn a major in biology, chemistry, physics, or earth/environmental/atmospheric sciences or the equivalent in undergraduate and/or graduate coursework. They must also achieve a passing score on the Praxis II "General Science" multiple choice or essay test and one subject-specific test of their choosing. Teachers with this license are not limited to teaching general science but rather can teach any of the topical areas.

Middle school science teachers in Vermont must earn a middle grades endorsement. Candidates are required to earn a minor in the content area and, commendably, must also pass the Praxis II "Middle School Science" content test.

### Supporting Research

Supplement A (5440) to the Vermont Standards Board for Professional Education Manual of Rules, 5445(13) Vermont's Testing Requirements

http://education.vermont.gov/new/pdfdoc/licensing/forms/educ\_licensing\_praxis\_brochure.pdf

#### RECOMMENDATION

Require secondary science teachers to pass tests of content knowledge for each science discipline they intend to teach.

States that allow general science certifications—but don't require passing scores on content tests for each subject area taught—are not ensuring that these secondary teachers possess adequate subject-specific content knowledge. Although Vermont's requirement of a passing score on a singlesubject content test is a step in the right direction, it only ensures requisite subject matter knowledge in one area. That leaves out all the other science courses that could be taught at the secondary level with a general science endorsement.

### **VERMONT RESPONSE TO ANALYSIS**

Vermont recognized the factual accuracy of this analysis.

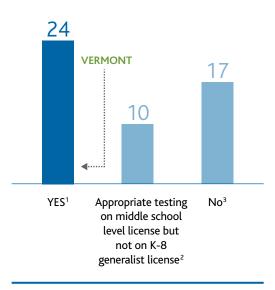
Figure 26  Do states ensure that secondary science teachers have adequate subject-matter knowledge?  Alabama Alaska Arizona Arkansas California Colorado Connecticut Delaware District of Columbia Florida Georgia Hawaii Idaho Illinois Illinois Illinois Indiana Illinois Indiana Maryand Massachusetts Michigan Minnesota Mississippi Minnesota Missosuri Montana Nebraska Nevada New Hampshire New Jersey New Mexico Doklahoma Oregon Pennsylvania North Carolina No	Figure 26		CIENG TSTING	Ses /	Willy Died
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## **T** EXAMPLES OF BEST PRACTICE

New Jersey does not offer certification in general science for secondary teachers. Although the state allows a combination physical science certificate, it ensure adequate content knowledge in both chemistry and physics by requiring teacher candidates to pass individual content tests in chemistry, physics and general science. Further, middle school science teachers must pass a science-specific content test.

Figure 27 Do states ensure that middle school teachers have adequate preparation to teach science?



- 1. Strong Practice: Alabama, Arkansas, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Indiana, Kansas, Kentucky, Louisiana, Maryland, Massachusetts, Mississippi, Missouri, New Jersey, Ohio, Pennsylvania, South Carolina, South Dakota, Vermont, Virginia, West Virginia
- 2. Maine, Minnesota, Nevada, New Hampshire, New Mexico, North Dakota, Oklahoma, Utah, Washington, Wisconsin
- 3. Alaska, Arizona, California, Colorado, Idaho, Illinois, Iowa, Michigan, Montana, Nebraska, New York, North Carolina, Oregon, Rhode Island, Tennessee, Texas, Wyoming

## **Area 1: Delivering Well-Prepared Teachers**

## Goal H - Secondary Teacher Preparation in Social Studies

The state should ensure that social studies teachers know all the subject matter they are licensed to teach.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should require secondary social studies teachers to pass a subject-matter test of each social studies discipline they intend to teach.
- The state should require middle school social studies teachers to pass a subject-matter test designed to ensure that prospective teachers cannot pass without sufficient knowledge of social studies.

## Background



## Area 1: Goal H **Vermont** Analysis



State Partly Meets Goal



Progress Since 2009

#### **ANALYSIS**

Vermont offers secondary certification in general social studies. Candidates are required to pass either the Praxis II "Social Studies" content test or the "Social Studies: Interpretation of Materials" test. Teachers may teach all history and social science courses in grades 7-12.

Middle school social studies teachers in Vermont must earn a middle grades endorsement. Candidates are required to earn a minor in the content area and, commendably, must also pass the Praxis II "Middle School Social Studies" content test.

### Supporting Research

Supplement A (5440) to the Vermont Standards Board for Professional Education Manual of Rules, 5445(13) **Praxis Testing Requirements** www.ets.org

#### RECOMMENDATION

Require secondary social studies teachers to pass tests of content knowledge for each social studies discipline they intend to teach.

States that allow general social studies certifications—and only require a general knowledge social studies exam—are not ensuring that their secondary teachers possess adequate subject-specific content knowledge. Vermont's required assessment combines all subject areas (e.g., history, geography, economics) and does not report separate scores for each subject area. Therefore, candidates could answer many—perhaps all—history questions, for example, incorrectly, yet still be licensed to teach history to high school students.

### **VERMONT RESPONSE TO ANALYSIS**

Vermont recognized the factual accuracy of this analysis.

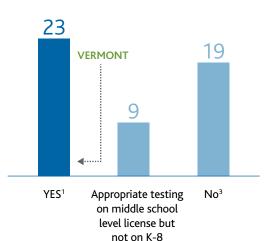
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## **TEXAMPLES OF BEST PRACTICE**

Not only does Indiana ensure that its secondary social studies teachers possess adequate content knowledge of all subjects they intend to teach through both coursework and content testingbut the state's policy also does not make it overly burdensome for social studies teachers to teach multiple subjects. Other notable states include Georgia and South Dakota, which also do not offer secondary general social studies certifications.

Figure 30 Do states ensure that middle school teachers have adequate preparation to teach social studies?



1. Strong Practice: Alabama, Arkansas, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Indiana, Kansas, Kentucky, Louisiana, Maryland, Mississippi, Missouri, New Jersey, Ohio, Pennsylvania, South Carolina, South Dakota, Vermont, Virginia, West Virginia

generalist license<sup>2</sup>

- 2. Maine, Michigan, Minnesota, Nevada, New Hampshire, New Mexico, North Dakota, Oklahoma, Washington
- 3. Alaska, Arizona, California, Colorado, Idaho, Illinois, Iowa, Massachusetts, Montana, Nebraska, New York, North Carolina, Oregon, Rhode Island, Tennessee, Texas, Utah, Wisconsin, Wyoming

1. Massachusetts does not offer a general social studies license, but offers combination licenses.

## **Area 1: Delivering Well-Prepared Teachers**

# Goal I – Special Education Teacher Preparation

The state should ensure that special education teachers know the subject matter they will be required to teach.

## **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should not permit special education teachers to teach on a K-12 license that does not differentiate between the preparation of elementary teachers and that of secondary teachers.
- 2. All elementary special education candidates should have a broad liberal arts program of study that includes study in mathematics, science, English, social studies and fine arts and should be required to pass a subjectmatter test for licensure that is no less rigorous than what is required of general education candidates.
- 3. The state should require that teacher preparation programs graduate secondary special education teacher candidates who are highly qualified in at least two subjects. The state should also customize a "HOUSSE" route for new secondary special education teachers to help them achieve highly qualified status in all the subjects they teach.



The components for this goal have changed since 2009. In light of state progress on this topic, the bar for this goal has been raised.

### **Background**



## Area 1: Goal I **Vermont** Analysis



State Partly Meets Goal



Bar Raised for this Goal 🥽



**Progress Since 2009** 

#### **ANALYSIS**

Commendably, Vermont does not offer a K-12 special education certification.

However, although Vermont requires that elementary special education teacher candidates meet the same preparation requirements as all elementary candidates, it does not ensure that they have appropriate subject-matter knowledge relevant to the elementary classroom (see Goal 1-B). The state also does not require that elementary special education teacher candidates pass the same subject-matter test as general education candidates.

Further, Vermont fails to require that secondary special education teacher candidates are highly qualified in at least two subject areas, and it does not customize a HOUSSE route for new secondary special education teachers to help them achieve highly qualified status in all subjects they teach.

### Supporting Research

Supplement A (5440-82) to the Vermont Standards Board for Professional Education Manual of Rules **Praxis Test Requirements** www.ets.org

#### RECOMMENDATION

- Provide a broad liberal arts program of study to elementary special education candidates.
  - Vermont should ensure that special education teacher candidates who will teach elementary grades possess knowledge of the subject matter at hand. Not only should the state require core-subject coursework relevant to the elementary classroom, but it should also require that these candidates pass the same subject-matter test required of all elementary teachers. Failure to ensure that teachers possess requisite content knowledge deprives special education students of the opportunity to reach their academic potential.
- Ensure that secondary special education teacher candidates graduate with highly qualified status in at least two subjects, and customize a HOUSSE route so that they can achieve highly qualified status in all subjects they plan to teach.

To make secondary special education teacher candidates more flexible and better able to serve schools and students, Vermont should use a combination of coursework and testing to ensure that they graduate with highly qualified status in two core academic areas. A customized HOUSSE route can also help new secondary special education teacher candidates to become highly qualified in multiple subjects by offering efficient means by which they could gain broad overviews of specific areas of content knowledge, such as content-driven university courses. Such a route is specifically permitted in the 2004 reauthorization of the Individuals with Disabilities Education Act (IDEA).

#### **VERMONT RESPONSE TO ANALYSIS**

Vermont pointed out that it does allow for K-12 special education certification. However, teachers must meet the requirements for both elementary and secondary special education in order to be licensed for K-12.

### **LAST WORD**

Vermont is commended for recognizing the distinction between elementary and secondary special education preparation.

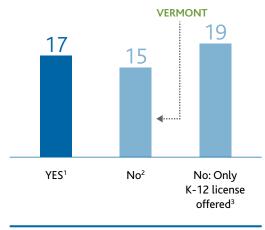




### **T** EXAMPLES OF BEST PRACTICE

Unfortunately, NCTQ cannot highlight any state's policy in this area. Preparation of special education teachers remains a topic in critical need of states' attention. However, it is worth noting that three states-Louisiana, Pennsylvania and Texas—will no longer issue K-12 special education certifications. Only grade-level specific options will be available to new teachers.

Figure 33 Do states require subject-matter testing for elementary special education licenses?



- Strong Practice: Alabama, Arkansas, Iowa, Kansas, Louisiana. Massachusetts, Michigan, Nebraska, New Jersey, New York, North Dakota, Oregon<sup>4</sup>, Pennsylvania<sup>5</sup>, Rhode Island, Texas, West Virginia, Wisconsin
- 2. Alaska, Georgia, Hawaii, Indiana, Maine, Maryland, Minnesota, Mississippi, Missouri, New Hampshire, South Dakota, Tennessee, Vermont, Washington, Wyoming
- 3. Arizona, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Idaho, Illinois, Kentucky, Montana, Nevada, New Mexico, North Carolina, Ohio, Oklahoma, South Carolina, Utah, Virginia
- 4. Although Oregon requires testing, the state allows an "alternative assessment" option for candidates who fail the tests twice to still be considered for a license.
- 5. In Pennsylvania, a candidate who opts for dual certification in elementary special education and as a reading specialist does not have to take a content test.

Figure 32

1. Beginning January 1, 2013

## **Area 1: Delivering Well-Prepared Teachers**

# Goal J – Assessing Professional Knowledge

The state should use a licensing test to verify that all new teachers meet its professional standards.

## **Goal Components**

(The factors considered in determining the states' rating for the goal.)

 The state should assess new teachers' knowledge of teaching and learning by means of a pedagogy test aligned to the state's professional standards.

### **Background**



# Area 1: Goal J **Vermont** Analysis



State Does Not Meet Goal



Progress Since 2009

### **ANALYSIS**

Vermont does not currently require new teachers to pass a pedagogy test in order to attain licensure.

### **Supporting Research**

http://www.ets.org/praxis/vt/requirements

### **RECOMMENDATION**

Require that all new teachers pass a pedagogy test.

Vermont should verify that all new teachers meet professional standards through a test of professional knowledge.

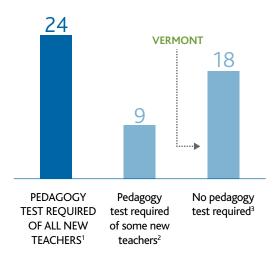
## **VERMONT RESPONSE TO ANALYSIS**

Vermont recognized the factual accuracy of this analysis.



Twenty-three states meet this goal, and although NCTQ has not singled out one state's policies for "best practice" honors, it additionally commends the nine states (Arizona, California, Florida, Illinois, Minnesota, New Mexico, New York, Oklahoma, Texas) that utilize their own assessments to measure pedagogical knowledge and skills.

Figure 35 Do states measure new teachers' knowledge of teaching and learning?



- 1. Strong Practice: Arizona, Arkansas, California, District of Columbia, Florida, Illinois, Kansas, Kentucky, Louisiana, Maine, Minnesota, Mississippi, Nevada, New Mexico, New York, North Dakota, Ohio, Oklahoma, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, West Virginia
- 2. Connecticut, Idaho, Indiana, Maryland, Missouri, North Carolina, Pennsylvania, Utah<sup>4</sup>, Wyoming
- 3. Alabama, Alaska, Colorado, Delaware, Georgia, Hawaii, Iowa, Massachusetts, Michigan, Montana, Nebraska, New Hampshire, New Jersey, Oregon, Vermont, Virginia, Washington, Wisconsin
- 4. Not required until teacher advances from a Level One to a Level Two license.

## **Area 1: Delivering Well-Prepared Teachers**

## Goal K - Student Teaching

The state should ensure that teacher preparation programs provide teacher candidates with a high-quality clinical experience.

## **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- The state should require that student teachers only be placed with cooperating teachers for whom there is evidence of their effectiveness as measured by consistent gains in student learning.
- 2. The state should require that teacher candidates spend at least 10 weeks student teaching.

### **Background**



## Area 1: Goal K **Vermont** Analysis



State Partly Meets Goal



Progress Since 2009

#### **ANALYSIS**

Commendably, Vermont requires candidates to complete a minimum of 12 consecutive weeks of "supervised, concentrated field experience required for initial licensure, including student teaching, internship, or other concentrated field experience, however named, in which the candidate shall gradually assume the full professional roles and responsibilities of an educator in the initial endorsement area sought."

However, the state does not address the qualifications of cooperating teachers.

### Supporting Research

Vermont Standards Board for Professional Education Manual of Rules, Rule 5923

#### RECOMMENDATION

Ensure that cooperating teachers have demonstrated evidence of effectiveness as measured by student learning.

In addition to the ability to mentor an adult, cooperating teachers should also be carefully screened for their capacity to further student achievement. Research indicates that the only aspect of a student teaching arrangement that has been shown to have an impact on student achievement is the positive effect of selection of the cooperating teacher by the preparation program, rather than the student teacher or school district staff.

 Explicitly require that student teaching be completed locally, thus prohibiting candidates from completing this requirement abroad.

Unless preparation programs can establish true satellite campuses to closely supervise student teaching arrangements, placement in foreign or otherwise novel locales should be supplementary to a standard student teaching arrangement. Outsourcing the arrangements for student teaching makes it impossible to ensure the selection of the best cooperating teacher and adequate supervision of the student teacher and may prevent training of the teacher on relevant state instructional frameworks.

### **VERMONT RESPONSE TO ANALYSIS**

Vermont asserted that all cooperating teachers must be appropriately licensed educators. Further, the selection criteria for cooperating teachers are reviewed during the program approval review process to assure that only the best cooperating teachers are selected.

#### **LAST WORD**

Vermont is urged to codify the requirement that cooperating teachers must demonstrate the capacity to further student achievement.

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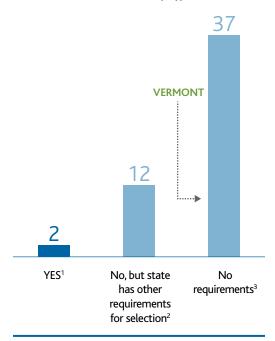
## **EXAMPLES OF BEST PRACTICE**

Although no state has been singled out for "best practice" honors, Florida and Tennessee require teacher candidates to complete at least 10 weeks of full-time student teaching, and they have taken steps toward ensuring that cooperating teachers have demonstrated evidence of effectiveness as measured by student learning.

<sup>1.</sup> Candidates can student teach for less than 12 weeks if determined to be proficient.

Figure 38

Is the selection of the cooperating teacher based on some measure of effectiveness?

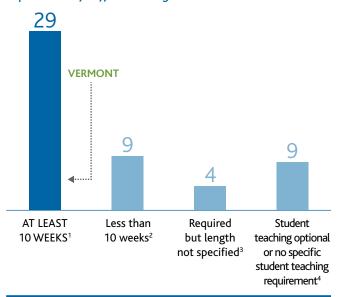


#### 1. Strong Practice: Florida, Tennessee

- Alabama, Connecticut, Indiana, Iowa, Kentucky, Nebraska, New Hampshire, New Jersey, North Dakota, Pennsylvania, Washington, Wisconsin
- 3. Alaska, Arizona, Arkansas, California, Colorado, Delaware, District of Columbia, Georgia, Hawaii, Idaho, Illinois, Kansas, Louisiana, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, Nevada, New Mexico, New York, North Carolina, Ohio, Oklahoma, Oregon, Rhode Island, South Carolina, South Dakota, Texas, Utah, Vermont, Virginia, West Virginia, Wyoming

Figure 39

Is the summative student teaching experience of sufficient length?



- Strong Practice: Alabama, Arkansas, Connecticut, Florida, Hawaii, Iowa, Kansas, Kentucky, Michigan, Minnesota, Mississippi, Nebraska, New Hampshire, New Jersey, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, Vermont, Washington, West Virginia<sup>5</sup>, Wisconsin
- 2. Idaho, Indiana, Louisiana, Massachusetts, Missouri, Nevada, New York, Virginia, Wyoming
- 3. Illinois, Maine, New Mexico, Utah
- 4. Alaska, Arizona, California, Colorado, Delaware, District of Columbia, Georgia, Maryland, Montana
- 5. Candidates can student teach for less than 12 weeks if determined to be proficient.

## **Area 1: Delivering Well-Prepared Teachers**

## Goal L – Teacher Preparation Program Accountability

The state's approval process for teacher preparation programs should hold programs accountable for the quality of the teachers they produce.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should collect value-added data that connects student achievement gains to teacher preparation programs.
- 2. The state should collect other meaningful data that reflects program performance, including some or all of the following:
  - a. Average raw scores of teacher candidates on licensing tests, including basic skills, subject matter and professional knowledge tests;
  - b. Number of times, on average, it takes teacher candidates to pass licensing tests;
  - c. Satisfaction ratings by school principals and teacher supervisors of programs' student teachers, using a standardized form to permit program comparison;
  - d. Evaluation results from the first and/or second year of teaching;
  - e. Five-year retention rates of graduates in the teaching profession.
- 3. The state should establish the minimum standard of performance for each category of data. Programs should be held accountable for meeting these standards, with articulated consequences for failing to do so, including loss of program approval.
- 4. The state should produce and publish on its website an annual report card that shows all the data the state collects on individual teacher preparation programs.



The components for this goal have changed since 2009. In light of state progress on this topic, the bar for this goal has been raised.

## Background

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.

## Figure 40 How States are Faring in Teacher Preparation Program Accountability **Best Practice State** Florida State Meets Goal Louisiana States Nearly Meet Goal Alabama, Colorado 1, Georgia 1, Tennessee, Texas States Partly Meet Goal Kentucky, Michigan, Nevada, North Carolina, Rhode Island, South Carolina 16 States Meet a Small Part of Goal Arizona, Illinois , Iowa, Maryland, Massachusetts, Mississippi, Missouri, Montana, New Jersey, Ohio, Oklahoma, Pennsylvania, **VERMONT**, Virginia, Washington, West Virginia 1 22 States Do Not Meet Goal Delaware, District of Columbia, Hawaii, Idaho, Indiana, Kansas↓, Maine, Minnesota, Nebraska, New Hampshire, New Mexico, New York, North Dakota, Oregon, South Dakota, Utah, Wisconsin, Wyoming Progress on this Goal Since 2009: **1**:4 💶 : 3

## Area 1: Goal L **Vermont** Analysis



State Meets a Small Part of Goal Raised for this Goal





**Progress Since 2009** 

#### **ANALYSIS**

Vermont's approval process for its traditional and alternate route teacher preparation programs does not hold programs accountable for the quality of the teachers they produce.

Most importantly, Vermont does not collect value-added data that connect student achievement gains to teacher preparation programs.

However, the state does rely on some objective, meaningful data to measure the performance of teacher preparation programs. It bases its program approval on a formal system to collect information, such as evaluation forms and testimonials, from both educators that have been recommended for licensure as well as program graduates' employers during the first five years in the profession. Vermont also collects programs' annual summary licensure test pass rates (80 percent of program completers must pass the Praxis I; 60 percent must pass the Praxis II). Regrettably, both pass-rate standards set the bar quite low and are not meaningful measures of program performance.

Further, there is no evidence that the state's standards for program approval are resulting in greater accountability. In the past three years, no programs in Vermont have been identified in required federal reporting as low performing.

Finally, Vermont's website does not include a report card that allows the public to review and compare program performance.

## **Supporting Research**

Results Oriented Program Approval, Standard III http://education.vermont.gov/new/html/pgm\_prostandards/vsbpe/ropa\_07.html Title II State Reports https://title2.ed.gov

#### **RECOMMENDATION**

Collect data that connect student achievement gains to teacher preparation programs.

To ensure that programs are producing effective classroom teachers, Vermont should consider academic achievement gains of students taught by the programs' graduates, averaged over the first three years of teaching.

■ Gather other meaningful data that reflect program performance.

Although Vermont relies on some objective, meaningful data to measure the performance of teacher preparation programs, the state should expand its requirements to include other metrics such as satisfaction ratings by school principals and teacher supervisors of programs' student teachers, using a standardized form to permit program comparison, and five-year retention rates of graduates in the teaching profession.

Ensure that criteria for program approval result in greater accountability.

Programs should be held accountable for meeting these standards, with articulated consequences for failing to do so, including loss of program approval after appropriate due process.

Publish an annual report card on the state's website.

To inform the public with meaningful, readily understandable indicators of how well programs are doing, Vermont should present all the data it collects on individual teacher preparation programs.

#### **VERMONT RESPONSE TO ANALYSIS**

Vermont recognized the factual accuracy of this analysis.

Figure 41			ADITIONA PARATIO	. /	ALTERNATIVE PREPARATION			
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Reported institutional data do not distinguish between candidates in the traditional and alternate route programs.

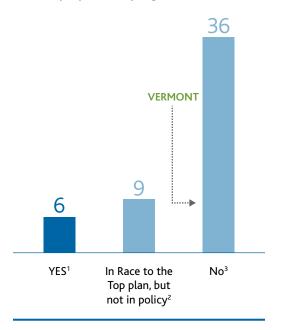
The posted data do not allow the public to review and compare program performance because data are not disaggregated by program provider.



Florida connects student achievement gains to teacher preparation programs. The state also relies on other objective, meaningful data to measure the performance of teacher preparation programs, and it applies transparent, measurable criteria for conferring program approval. Florida also posts an annual report on its website.

Figure 42

Do states use student achievement data to hold teacher preparation programs accountable?



- Strong Practice: Colorado, Florida, Georgia, Louisiana, Tennessee, Texas
- 2. Delaware, District of Columbia, Hawaii, Maryland, Massachusetts, New York, North Carolina, Ohio, Rhode Island
- Alabama, Alaska, Arizona, Arkansas, California, Connecticut, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Maine, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, North Dakota, Oklahoma, Oregon, Pennsylvania, South Carolina, South Dakota, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin, Wyoming

#### Figure 43

## Which states collect meaningful data?

#### **AVERAGE RAW SCORES ON LICENSING TESTS**

Alabama, Louisiana, Michigan, New Jersey, Tennessee, West Virginia

#### SATISFACTION RATINGS FROM SCHOOLS

Alabama, Arizona, Florida, Iowa, Kentucky, Maryland<sup>1</sup>, Michigan, Mississippi, Missouri, Nevada, New Jersey, Tennessee, Virginia, Washington<sup>1</sup>, West Virginia

#### **EVALUATION RESULTS FOR PROGRAM GRADUATES**

Alabama, Arizona, Delaware<sup>1</sup>, Florida, Illiniois, Iowa, Pennsylvania, Rhode Island, South Carolina, Tennessee, Texas, **VERMONT** 

#### STUDENT LEARNING GAINS

Colorado, Florida, Georgia, Louisiana, Tennessee, Texas

#### **TEACHER RETENTION RATES**

Arizona, Colorado, Delaware<sup>1</sup>, Missouri, New Jersey

1. For alternate route only

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Delaware					
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Virginia					
Washington					
West Virginia					
Wisconsin					
Wyoming					
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According to information posted on NCATE's website.

## **Area 2: Expanding the Pool of Teachers**

## Goal A – Alternate Route Eligibility

The state should require alternate route programs to exceed the admission requirements of traditional preparation programs while also being flexible to the needs of nontraditional candidates.

## **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. With some accommodation for work experience, alternate route programs should screen candidates for academic ability, such as requiring a minimum 2.75 overall college CPA
- 2. All alternate route candidates, including elementary candidates and those having a major in their intended subject area, should be required to pass the state's subject-matter licensing test.
- 3. Alternate route candidates lacking a major in the intended subject area should be able to demonstrate subject-matter knowledge by passing a test of sufficient rigor.

## **Background**



# Area 2: Goal A **Vermont** Analysis



State Meets a Small Part of Goal



Progress Since 2009

#### **ANALYSIS**

The admission requirements for Vermont's alternate route do not exceed those of traditional preparation programs and lack flexibility for nontraditional candidates.

Candidates in Vermont's Peer Review program must have at least a bachelor's degree with a major in the subject they plan to teach, or a strong liberal arts background if applying for elementary licensure.

Vermont does not require candidates to demonstrate prior academic performance, such as a minimum GPA. Peer review candidates must take a basic skills test, and candidates seeking initial licensure must take a subject-matter test, which cannot be used to test out of coursework requirements.

## **Supporting Research**

http://www.education.vermont.gov/new/html/licensing/alternate.html

#### **RECOMMENDATION**

## Screen all candidates for academic ability.

Vermont should require that candidates to its alternate routes provide some evidence of good academic performance. The standard should be higher than what is required of traditional teacher candidates, such as a GPA of 2.75 or higher. Alternatively, the state could require one of the standardized tests of academic proficiency commonly used in higher education for graduate admissions, such as the GRE.

## Require applicants to pass a subject-matter test.

The concept behind alternate routes is that the nontraditional candidate is able to concentrate on acquiring professional knowledge and skills because he or she has strong subject-area knowledge. Teachers without sufficient subject-matter knowledge place students at risk. The state should clarify that peer review candidates should not pursue an alternate route without clear demonstration of subject-matter expertise.

## Offer flexibility in fulfilling coursework requirements.

Vermont should allow any candidate who already has the requisite knowledge and skills to demonstrate such by passing a rigorous test. Rigid coursework requirements could dissuade talented individuals who lack precisely the right courses from pursuing a career in teaching.

### **VERMONT RESPONSE TO ANALYSIS**

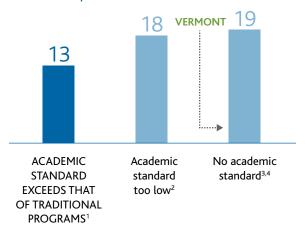
Vermont asserted that the Teacher Apprenticeship Program, Vermont Career and Technical Teacher Education Program, SPARK and the Upper Valley Principal Preparation Program are all alternate route programs operating in the state. Vermont also explained that "all alternate routes are held to the same standard as their traditional route peers."

The state contended that the Peer Review is not a preparation program, stating that "this assessment process assesses many factors beyond academic work."



Figure 47

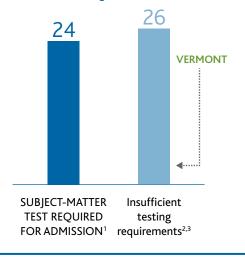
Do states require alternate routes to be selective?



- Strong Practice: Connecticut, District of Columbia, Illinois, Indiana, Iowa, Maryland, Michigan, Minnesota, New Jersey, New York, Pennsylvania, Rhode Island, Tennessee
- Alabama, Alaska, Arkansas, Florida, Kansas, Kentucky, Louisiana, Mississippi, Missouri, Nebraska, North Carolina, Ohio, Oklahoma, South Carolina, South Dakota, Texas, West Virginia, Wyoming
- Arizona, California, Colorado, Delaware, Georgia, Hawaii, Idaho, Maine, Massachusetts, Montana, Nevada, New Hampshire, New Mexico, Oregon, Utah, Vermont, Virginia, Washington, Wisconsin
- 4. North Dakota does not have an alternate route to certification.

Figure 48

Do states ensure that alternate route teachers have subject-matter knowledge?



- Strong Practice: Alabama, Arizona, Arkansas, Connecticut<sup>4</sup>, District of Columbia, Florida, Illinois<sup>4</sup>, Kansas, Kentucky, Louisiana, Massachusetts, Michigan, Minnesota, Mississippi, New Jersey, New York, Ohio, Oklahoma, Pennsylvania, South Carolina, South Dakota, Virginia, Washington, West Virginia
- 2. State does not require test at all, exempts some candidates or does not require passage until program completion. Alaska, California, Colorado, Delaware, Georgia, Hawaii, Idaho, Indiana, Iowa, Maine, Maryland, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Mexico, North Carolina, Oregon, Rhode Island, Tennessee, Texas, Utah, Vermont, Wisconsin, Wyoming
- 3. North Dakota does not have an alternate route to certification.
- 4. Required prior to entering the classroom.

### Figure 46

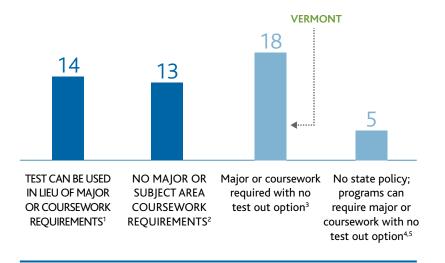
1. North Dakota does not have an alternate route to certification.



The **District of Columbia** and **Michigan** require candidates to demonstrate above-average academic performance as conditions of admission to an alternate route program, with both requiring applicants to have a minimum 3.0 GPA. In addition, neither state requires a content-specific major; subject-area knowledge is demonstrated by passing a test, making their alternate routes flexible to the needs of nontraditional candidates.

Figure 49

Do states accommodate the nontraditional background of alternate route candidates?



- Strong Practice: Alabama, California, Colorado, Connecticut<sup>6</sup>, Florida, Georgia, Maine, Maryland, North Carolina, Oklahoma, Oregon, Rhode Island, Tennessee, Texas
- 2. Strong Practice: Arizona, Arkansas, District of Columbia, Illinois, Iowa, Louisiana, Massachusetts, Michigan, Minnesota, Mississippi, Ohio, Virginia, Washington
- 3. Alaska, Delaware, Indiana, Kansas, Kentucky, Missouri, Montana, Nebraska, New Hampshire, New Jersey, New York, Pennsylvania, South Carolina, South Dakota, Utah, Vermont, West Virginia, Wyoming
- 4. Hawaii, Idaho, Nevada, New Mexico, Wisconsin
- 5. North Dakota does not have an alternate route to certification.
- 6. Test out option available to candidates in shortage areas only.

## **Area 2: Expanding the Pool of Teachers**

## Goal B – Alternate Route Preparation

The state should ensure that its alternate routes provide streamlined preparation that is relevant to the immediate needs of new teachers.

## **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should ensure that the amount of coursework it either requires or allows is manageable for a novice teacher. Anything exceeding 12 credit hours of coursework in the first year may be counterproductive, placing too great a burden on the teacher. This calculation is premised on no more than six credit hours in the summer, three in the fall and three in the spring.
- 2. The state should ensure that alternate route programs offer accelerated study not to exceed six (three credit) courses for secondary teachers and eight (three credit) courses for elementary teachers (exclusive of any credit for practice teaching or mentoring) over the duration of the program. Programs should be limited to two years, at which time the new teacher should be eligible for a standard certificate.
- All coursework requirements should target the immediate needs of the new teacher (e.g., seminars with other grade-level teachers, training in a particular curriculum, reading instruction and classroom management techniques).
- 4. The state should ensure that candidates have an opportunity to practice teach in a summer training program. Alternatively, the state can require an intensive mentoring experience, beginning with a trained mentor assigned full time to the new teacher for the first critical weeks of school and then gradually reduced. The state should support only induction strategies that can be effective even in a poorly managed school: intensive mentoring, seminars appropriate to grade level or subject area, a reduced teaching load and frequent release time to observe effective teachers.

### **Background**



## Area 2: Goal B **Vermont** Analysis



State Does Not Meet Goal



Progress Since 2009

#### **ANALYSIS**

There are no coursework requirements associated with Vermont's Peer Review alternate route.

Candidates must show evidence of at least 12 consecutive weeks of student teaching or an equivalent learning experience. An equivalent learning experience is defined as having a substantial amount of supervised experiences working with students in the endorsement area.

### **Supporting Research**

Peer Review Handbook http://education.vermont.gov/new/pdfdoc/licensing/alternate/educ\_licensing\_alt\_peer\_review\_handbook.pdf

### **RECOMMENDATION**

■ Provide a route to certification for career changers and other nontraditional candidates.

Vermont should provide an alternate route to certification for individuals with subject-matter knowledge or advance academic standing to enter the profession. Vermont's current route is not a preparation model; rather it is a way for individuals who are already prepared to attain certification.

### **VERMONT RESPONSE TO ANALYSIS**

Vermont had no comment on this goal.

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## **EXAMPLES OF BEST PRACTICE**

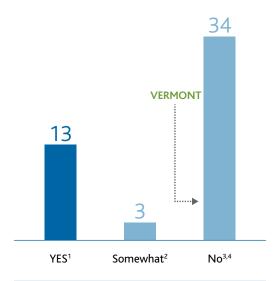
Connecticut ensures that its alternate route provides streamlined preparation that meets the immediate needs of new teachers. The state requires a manageable number of credit hours, relevant coursework, a field placement and intensive mentoring. Other notable states include Arkansas, Delaware, Georgia and New Jersey. These states provide streamlined, relevant coursework with intensive mentoring.

<sup>1.</sup> Florida requires practice teaching or intensive mentoring.

<sup>2.</sup> North Dakota does not have an alternate route to certification.

Figure 52

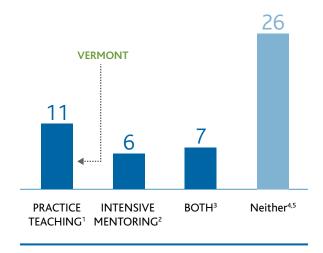
Do states curb excessive coursework requirements?



- Strong Practice: Alabama, Arkansas, Colorado, Connecticut, Delaware, Florida, Georgia, Maryland, Mississippi, New Jersey, Rhode Island, South Carolina, Virginia
- 2. Indiana, Nevada, Wyoming
- 3. Alaska, Arizona, California, District of Columbia, Hawaii, Idaho, Illinois, Iowa, Kansas, Kentucky, Louisiana, Maine, Massachusetts, Michigan, Minnesota, Missouri, Montana, Nebraska, New Hampshire, New Mexico, New York, North Carolina, Ohio, Oklahoma, Oregon, Pennsylvania, South Dakota, Tennessee, Texas, Utah, Vermont, Washington, West Virginia, Wisconsin
- 4. North Dakota does not have an alternate route to certification.

Figure 53

Do states require practice teaching or intensive mentoring?



- 1. Strong Practice: Arizona, Indiana, Iowa, Louisiana, Michigan, Nebraska, New Mexico, Ohio, Rhode Island, Vermont, Virginia
- Strong Practice: Arkansas, Georgia, Kentucky, New Jersey, New York, West Virginia
- 3. Strong Practice: Alaska, Connecticut, Delaware, District of Columbia, Florida<sup>6</sup>, Maryland, Massachusetts
- Alabama, California, Colorado, Hawaii, Idaho, Illinois, Kansas, Maine, Minnesota, Mississippi, Missouri, Montana, Nevada, New Hampshire, North Carolina, Oklahoma, Oregon, Pennsylvania, South Carolina, South Dakota, Tennessee, Texas, Utah, Washington, Wisconsin, Wyoming
- $5.\ North$  Dakota does not have an alternate route to certification.
- 6. Candidates are required to have one or the other, not both.

## **Area 2: Expanding the Pool of Teachers**

## Goal C – Alternate Route Usage and Providers

The state should provide an alternate route that is free from regulatory obstacles that limit its usage and providers.

## **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should not treat the alternate route as a program of last resort or restrict the availability of alternate routes to certain subjects, grades or geographic areas.
- The state should allow districts and nonprofit organizations other than institutions of higher education to operate alternate route programs.
- 3. The state should ensure that its alternate route has no requirements that would be difficult to meet for a provider that is not an institution of higher education (e.g., an approval process based on institutional accreditation).

### **Background**



## Area 2: Goal C **Vermont** Analysis



State Meets a Small Part of Goal



**Progress Since 2009** 

#### **ANALYSIS**

Vermont limits the usage and providers of its alternate route.

Vermont's alternate route can only be used for certification to teach elementary education, 7-12 English, 7-12 Science, 7-12 Social Studies, 7-12 Mathematics, Art, Physical Education, Modern and Classical Languages, and Middle Grades (5-9).

Although the state permits school districts to operate Peer Review programs, it does not permit a diversity of providers to offer alternate route preparation programs.

#### **Supporting Research**

http://education.vermont.gov/new/html/licensing/alternate.html

#### **RECOMMENDATION**

## Broaden usage for all alternate routes.

Vermont should reconsider grade-level and subject area restrictions on its alternate route. Alternate routes should not be programs of last resort for hard-to-staff subjects, grade levels or geographic areas but rather a way to expand the teacher pipeline throughout the state.

## Encourage diversity of alternate route providers.

Vermont should specifically authorize alternate route programs run by local school districts and nonprofits, as well as institutions of higher education. A good diversity of providers helps all programs, both university- and non-university-based, to improve.

### **VERMONT RESPONSE TO ANALYSIS**

Vermont asserted that it offers additional alternate routes to the Peer Review process. The state contended that it does not limit who can run an alternate route program. Further, the state explained that "candidates can apply directly to the state for Peer Review and that alternate routes are open to all endorsement areas except for those requiring an additional professional license (e.g. school nurse, school language pathologist)."

#### **LAST WORD**

In previous editions of the *Yearbook*, as in this one, Vermont has disagreed with NCTQ's analysis that it limits the usage and providers of its alternate route. The state has not provided NCTQ with additional documentation to verify the state's response. No reference to other routes or providers could be identified in state statute or regulation.

Figure 55		
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## **\*** EXAMPLES OF BEST PRACTICE

Twenty-six states meet this goal, and although NCTQ has not singled out one state's policies for "best practice" honors, it commends all states that permit both broad usage and a diversity of providers for their alternate routes.

Figure 56 Can alternate route teachers teach any subject or grade anywhere in the state?

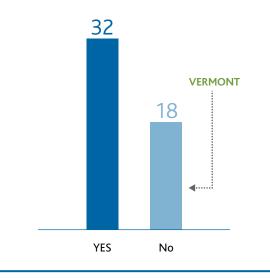
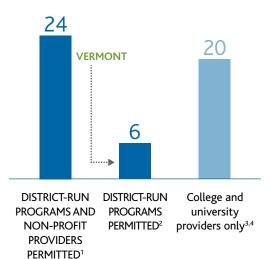


Figure 55 and 56

- 1. Alabama offers routes without restrictions for candidates with master's degrees. The route for candidates with bachelor's degrees is limited to
- 2. North Dakota does not have an alternate route to certification.

Figure 57

Do states permit providers other than colleges or universities?



- Strong Practice: Arizona, Arkansas, Connecticut, Delaware, District of Columbia, Florida, Illinois, Kentucky, Louisiana, Maryland, Massachusetts, Michigan, Nevada, New Hampshire, New York, Ohio, Oklahoma, Pennsylvania, Rhode Island, Tennessee, Texas, Virginia, Washington, Wisconsin
- 2. Strong Practice: California, Colorado, Georgia, North Carolina, Vermont<sup>5</sup>, West Virginia
- Alabama, Alaska, Hawaii, Idaho<sup>6</sup>, Indiana, Iowa, Kansas, Maine, Minnesota, Mississippi<sup>6</sup>, Missouri<sup>6</sup>, Montana, Nebraska, New Jersey<sup>7</sup>, New Mexico, Oregon, South Carolina<sup>6</sup>, South Dakota, Utah<sup>6</sup>, Wyoming
- 4. North Dakota does not have an alternate route to certification.
- 5. Districts can run Peer Review programs only.
- 6. ABCTE is also an approved provider.
- 7. Permits school districts to provide programs without university partnerships in some circumstances.

GENUINE OR NEARLY
GENUINE ALTERNATE ROUTE ∫ Offered route is disingenuous Figure 58 Alternate oote that need significant improvements Do states provide real alternative pathways to certification? Alabama П Alaska Arizona П Arkansas California Colorado Connecticut Delaware District of Columbia П Florida Georgia П П Hawaii П Idaho П Illinois П Indiana Iowa Kansas Kentucky П Louisiana Maine Maryland П П Massachusetts Michigan Minnesota Mississippi П Missouri Montana Nebraska Nevada New Hampshire New Jersey New Mexico П П New York North Carolina North Dakota<sup>1</sup> П П Ohio П Oklahoma Oregon Pennsylvania Rhode Island South Carolina П South Dakota Tennessee П П Texas Utah **VERMONT** Virginia П Washington West Virginia Wisconsin Wyoming 7 25 18

Figure 58

1. North Dakota does not have an alternate route to certification.

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## **Area 2: Expanding the Pool of Teachers**

## Goal D – Part-Time Teaching Licenses

The state should offer a license with minimal requirements that allows content experts to teach part time.

## **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- Either through a discrete license or by waiving most licensure requirements, the state should authorize individuals with content expertise to teach as part-time instructors.
- 2. All candidates for a part-time teaching license should be required to pass a subject-matter test.
- 3. Other requirements for this license should be limited to those addressing public safety (e.g., background screening) and those of immediate use to the novice instructor (e.g., classroom management training).

### **Background**



## Area 2: Goal D **Vermont** Analysis



State Does Not Meet Goal Progress Since 2009



### **ANALYSIS**

Vermont does not offer a license with minimal requirements that would allow content experts to teach part time.

#### **RECOMMENDATION**

Offer a license that allows content experts to serve as part-time instructors.

Vermont should permit individuals with deep subject-area knowledge to teach a limited number of courses without fulfilling a complete set of certification requirements. The state should verify content knowledge through a rigorous test and conduct background checks as appropriate, while waiving all other licensure requirements. Such a license would increase districts' flexibility to staff certain subjects, including many STEM areas, that are frequently hard to staff or may not have high enough enrollment to necessitate a full-time position.

### **VERMONT RESPONSE TO ANALYSIS**

Vermont recognized the factual accuracy of this analysis.

#### Figure 61 Do states offer a license with minimal requirements that allows content experts to teach part-time? YES No Alabama Alaska Arizona Arkansas California Colorado Connecticut Delaware District of Columbia Florida Georgia Hawaii Idaho Illinois Indiana Iowa Kansas Kentucky Louisiana Maine Maryland Mass a chusettsMichigan Minnesota Mississippi Missouri Montana Nebraska Nevada New Hampshire New Jersey New Mexico New York 2 П North Carolina North Dakota Ohio Oklahoma Oregon Pennsylvania Rhode Island South Carolina South Dakota Tennessee Texas Utah **VERMONT** Virginia 2 Washington West Virginia Wisconsin Wyoming 16 35



## **TEXAMPLES OF BEST PRACTICE**

Arkansas offers a license with minimal requirements that allows content experts to teach part time. Individuals seeking this license must pass a subject-matter test and are also required to complete specially-designed pedagogy training that is not overly burdensome.

<sup>1.</sup> License has restrictions.

<sup>2.</sup> It appears that the state has a license that may be used for this purpose; guidelines are vague.

# **Area 2: Expanding the Pool of Teachers**

# Goal E – Licensure Reciprocity

The state should help to make licenses fully portable among states, with appropriate safeguards.

## **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should offer a standard license to fully certified teachers moving from other states, without relying on transcript analysis or recency requirements as a means of judging eligibility. The state can and should require evidence of good standing in previous employment.
- 2. The state should uphold its standards for all teachers by insisting that certified teachers coming from other states meet the incoming state's testing requirements.
- 3. The state should accord the same license to teachers from other states who completed an approved alternate route program that it accords teachers prepared in a traditional preparation program.

# **Background**



# Area 2: Goal E **Vermont** Analysis



State Does Not Meet Goal



Progress Since 2009

### **ANALYSIS**

Vermont does not support licensure reciprocity for certified teachers from other states.

Regrettably, Vermont waives its licensing test requirements for teachers who have three years of teaching experience within the last seven years.

Teachers with valid, professional out-of-state certificates may be eligible for comparable licensure in Vermont. The state routinely reviews the college transcripts of licensed out-of-state teachers, an exercise that often leads the state to require additional coursework before it will offer a license. States that reach a determination about an applicant's licensure status on the basis of the course titles listed on the applicant's transcript may end up mistakenly equating the amount of required coursework with the teacher's qualification.

Vermont is also a participant in the NASDTEC Interstate Agreement; however, the latest iteration of this agreement no longer purports to be a reciprocity agreement among states and thus is no longer included in this analysis.

### **Supporting Research**

Regulations Governing the Licensing of Educators and the Preparation of Educational Professionals, Rules 5247, 5322, 5323

### **RECOMMENDATION**

To uphold standards, require that teachers coming from other states meet testing requirements.

Vermont takes considerable risk by granting a waiver for its licensing tests to certain out-of-state teachers with three years of experience. The state should not waive any of its teacher tests unless an applicant can provide evidence of a passing score under its own standards. The negative impact on student learning stemming from a teacher's inadequate subject-matter knowledge is not mitigated by the teacher's having recent experience.

 Offer a standard license to certified out-of-state teachers, absent unnecessary requirements.

Vermont should consider discontinuing its requirement for the submission of transcripts, as transcript reviews are not a particularly meaningful or efficient exercise. Transcript analysis is likely to result in additional coursework requirements, even for traditionally prepared teachers; alternate route teachers, on the other hand, may have to virtually begin anew, repeating some, most or all of a teacher preparation program in Vermont.

Accord the same license to out-of-state alternate route teachers as would be accorded to traditionally prepared teachers.

Regardless of whether a teacher was prepared through a traditional or alternate route, all certified out-of-state teachers should receive equal treatment. State policies that discriminate against teachers who were prepared in an alternate route are not supported by evidence. In fact, a substantial body of research has failed to discern differences in effectiveness between alternate and traditional route teachers.

#### **VERMONT RESPONSE TO ANALYSIS**

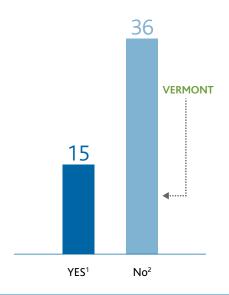
Vermont was helpful in providing NCTQ with facts that enhanced this analysis.



# EXAMPLES OF BEST PRACTICE

Alabama and Texas appropriately support licensure reciprocity by only requiring certified teachers from other states to meet each state's own testing requirements and by not specifying any additional coursework or recency requirements to determine eligibility for either traditional or alternate route teachers.

Figure 63 Do states require all out-of-state teachers to pass their licensure tests?



- 1. Strong Practice: Alabama, Alaska, Idaho, Illinois, Massachusetts, Minnesota, New York<sup>3</sup>, North Dakota, Ohio, Pennsylvania<sup>3</sup>, South Dakota, Texas, Utah, Washington<sup>3</sup>, Wisconsin
- 2. Arizona, Arkansas, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Indiana, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Michigan, Mississippi, Missouri, Montana<sup>4</sup>, Nebraska<sup>4</sup>, Nevada, New Hampshire, New Jersey, New Mexico, North Carolina, Oklahoma, Oregon, Rhode Island, South Carolina, Tennessee, Vermont, Virginia, West Virginia, Wyoming
- 3. Exception for teachers with National Board Certification.
- 4. No subject-matter testing for any teacher certification.

Figure 64

- 1. For traditionally prepared teachers only.
- 2. Transcript review required for those with less than 3 years experience.



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	6	6	39

# Goal A – State Data Systems

The state should have a data system that contributes some of the evidence needed to assess teacher effectiveness.

## **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should establish a longitudinal data system with at least the following key components:
  - a. A unique statewide student identifier number that connects student data across key databases across years;
  - b. A unique teacher identifier system that can match individual teacher records with individual student records; and
  - c. An assessment system that can match individual student test records from year to year in order to measure academic growth.
- 2. Value-added data provided through the state's longitudinal data system should be considered among the criteria used to determine teachers' effectiveness.
- 3. To ensure that data provided through the state data system is actionable and reliable, the state should have a clear definition of "teacher of record" and require its consistent use statewide.

# **Background**



# Area 3: Goal A **Vermont** Analysis



State Partly Meets Goal



Progress Since 2009

### **ANALYSIS**

Vermont does not have a data system that can be used to provide evidence of teacher effectiveness.

However, Vermont does have two of three necessary elements that would allow for the development of a student- and teacher-level longitudinal data system. The state has assigned unique student identifiers that connect student data across key databases across years, and it has the capacity to match student test records from year to year in order to measure student academic growth.

Although Vermont assigns teacher identification numbers, it cannot match individual teacher records with individual student records.

# **Supporting Research**

Data Quality Campaign www.dataqualitycampaign.org

### **RECOMMENDATION**

Develop capacity of state data system.

Vermont should ensure that its state data system is able to match individual teacher records with individual student records.

Develop a clear definition of "teacher of record."

Vermont has not yet established a definition of teacher of record, which is essential in order to use the student-data link for teacher evaluation and related purposes. To ensure that data provided through the state data system are actionable and reliable, Vermont should articulate a definition of teacher of record and require its consistent use throughout the state.

#### **VERMONT RESPONSE TO ANALYSIS**

Vermont recognized the factual accuracy of this analysis. The state added that it is piloting a system this year that will collect data and allow the matching of individual teacher records with individual student records.

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# **EXAMPLES OF BEST PRACTICE**

Although NCTQ has not singled out one state's policies for "best practice" honors, it commends the 35 states that have a data system with the capacity to provide evidence of teacher effectiveness.

Key

indicates that the state assigns teacher identification numbers, but it cannot match individual teacher records with individual student records.

# Goal B – Evaluation of Effectiveness

The state should require instructional effectiveness to be the preponderant criterion of any teacher evaluation.

# **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should either require a common evaluation instrument in which evidence of student learning is the most significant criterion or specifically require that student learning be the preponderant criterion in local evaluation processes. Evaluation instruments, whether state or locally developed, should be structured to preclude a teacher from receiving a satisfactory rating if found ineffective in the classroom.
- 2. Evaluation instruments should require classroom observations that focus on and document the effectiveness of instruction.
- 3. Teacher evaluations should consider objective evidence of student learning, including not only standardized test scores but also classroom-based artifacts such as tests, quizzes and student work.
- 4. The state should require that evaluation instruments differentiate among various levels of teacher performance. A binary system that merely categorizes teachers as satisfactory or unsatisfactory is inadequate.



The components for this goal have changed since 2009. In light of state progress on this topic, the bar for this goal has been raised.

# **Background**



# Area 3: Goal B **Vermont** Analysis



State Does Not Meet Goal



Bar Raised for this Goal



**Progress Since 2009** 

### **ANALYSIS**

Vermont does not require that objective evidence of student learning be the preponderant criterion of its teacher evaluations.

The state requires local school districts to evaluate school personnel but provides little guidance about the content of these evaluations. Further, it does not direct districts to include evidence of student learning as part of their teacher evaluation instruments.

# **Supporting Research**

Vermont Statutes Title 16, Chapter 3, Section 165

### RECOMMENDATION

Require instructional effectiveness to be the preponderant criterion of any teacher evaluation.

Vermont should either require a common evaluation instrument in which evidence of student learning is the most significant criterion, or it should specifically require that student learning be the preponderant criterion in local evaluation processes. Whether state or locally developed, a teacher should not be able to receive a satisfactory rating if found ineffective in the classroom.

■ Ensure that classroom observations specifically focus on and document the effectiveness of instruction.

Vermont should not only require that its evaluations include classroom observations, but also the state should specifically articulate that these observations focus on effectiveness of instruction. The primary component of a classroom observation should be the quality of instruction, as measured by student time on task, student grasp or mastery of the lesson objective and efficient use of class time.

Utilize rating categories that meaningfully differentiate among various levels of teacher performance.

To ensure that the evaluation instrument accurately differentiates among levels of teacher performance, Vermont should require districts to utilize multiple rating categories, such as highly effective, effective, needs improvement and ineffective. A binary system that merely categorizes teachers as satisfactory or unsatisfactory is inadequate.

### **VERMONT RESPONSE TO ANALYSIS**

Vermont recognized the factual accuracy of this analysis.





# **T** EXAMPLES OF BEST PRACTICE

NCTQ has not singled out any one state for "best practice" honors. Many states have made significant strides in the area of teacher evaluation by requiring that objective evidence of student learning be the preponderant criterion. Because there are many different approaches that result in student learning being the preponderant criterion, all 10 states that meet this goal are commended for their efforts.

### Figure 70

Using state data in teacher evaluations

States with Requirements for Student Achievement Data but Lacking Data System Capacity

Arizona, Colorado, Connecticut, Michigan, Nevada

States with Data System Capacity but No Student Achievement Requirements

Alabama, Hawaii, Iowa, Kansas, Kentucky, Mississippi, Missouri, Nebraska, New Hampshire, New Mexico, North Dakota, Pennsylvania, South Carolina, Washington, West Virginia, Wisconsin

<sup>1.</sup> District of Columbia Public Schools requires that student learning be the preponderant criterion of its teacher evaluations.

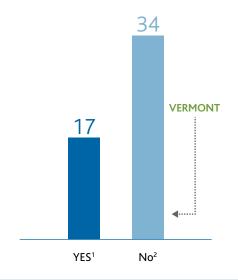
Figure 71
Sources of objective evidence of student learning

Many educators struggle to identify possible sources of objective student data. Here are some examples:

- Standardized test scores
- Periodic diagnostic assessments
- Benchmark assessments that show student growth
- Artifacts of student work connected to specific student learning standards that are randomly selected for review by the principal or senior faculty, scored using rubrics and descriptors
- Examples of typical assignments, assessed for their quality and rigor
- Periodic checks on progress with the curriculum coupled with evidence of student mastery of the curriculum from quizzes, tests and exams

Figure 72

Do states require more than two categories for teacher evaluation ratings?



- Strong Practice: Arkansas, Colorado, Delaware, Florida, Georgia, Illinois, Indiana, Maryland, Massachusetts, Michigan, Nevada, New York, Ohio, Oklahoma, Rhode Island, Tennessee, Washington
- Alabama, Alaska, Arizona, California, Connecticut, District of Columbia, Hawaii, Idaho, Iowa, Kansas, Kentucky, Louisiana, Maine, Minnesota, Mississippi, Missouri, Montana, Nebraska, New Hampshire, New Jersey, New Mexico, North Carolina, North Dakota, Oregon, Pennsylvania, South Carolina, South Dakota, Texas, Utah, Vermont, Virginia, West Virginia, Wisconsin, Wyoming

Figure 73  Do states direct how	Single statewide teacher	State-designed teacher	District designed 9 seen	District designed 35se	re Mir
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<sup>1.</sup> State approval required.

<sup>2.</sup> The state model is presumptive; districts need state approval to opt out.

# Goal C – Frequency of Evaluations

The state should require annual evaluations of all teachers.

# **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should require that all teachers receive a formal evaluation rating each year.
- 2. While all teachers should have multiple observations that contribute to their formal evaluation rating, the state should ensure that new teachers are observed and receive feedback early in the school year.

# Background



# Area 3: Goal C **Vermont** Analysis



State Does Not Meet Goal



Progress Since 2009

### **ANALYSIS**

Vermont does not address the number of times teachers must be evaluated.

### **RECOMMENDATION**

Require annual formal evaluations for all teachers.

All teachers in Vermont should be evaluated annually. Rather than treated as mere formalities, these teacher evaluations should serve as important tools for rewarding good teachers, helping average teachers improve and holding weak teachers accountable for poor performance.

Base evaluations on multiple observations.

To guarantee that annual evaluations are based on an adequate collection of information, Vermont should require multiple observations for all teachers, even those who have nonprobationary status.

■ Ensure that new teachers are observed and receive feedback early in the school year.

It is critical that schools and districts closely monitor the performance of new teachers. Vermont should ensure that its new teachers get the support they need and that supervisors know early on which new teachers may be struggling or at risk for unacceptable levels of performance.

### **VERMONT RESPONSE TO ANALYSIS**

Vermont recognized the factual accuracy of this analysis.

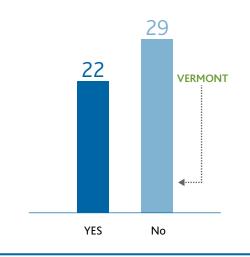
Figure 75		LIERAN TEACHERS NUMLEVALUATION ALL NEW TEACHERS
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# **TEXAMPLES OF BEST PRACTICE**

Although not awarding "best practice" honors for frequency of evaluations, NCTQ commends all nine states that meet this goal not only by requiring annual evaluations for all teachers, but also for ensuring that new teachers are observed and receive feedback during the first half of the school year.

Figure 76 Do states require districts to evaluate all teachers each year?

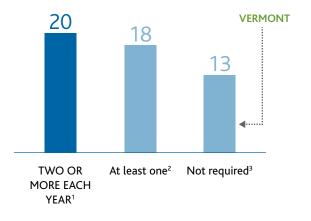


Figures 75 and 76

- 1. Although highly effective teachers are only required to receive a summative evaluation once every two years, the student improvement component is evaluated annually.
- 2. All District of Columbia Public Schools teachers are evaluated at least annually.

Figure 77

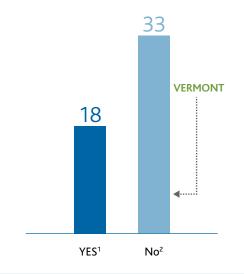
Do states require classroom observations?



- Strong Practice: Alabama, Alaska<sup>4</sup>, Arkansas, Colorado<sup>4</sup>, Delaware, Florida<sup>4</sup>, Georgia, Kentucky<sup>4</sup>, Maryland, Michigan, Missouri<sup>4</sup>, Nevada<sup>4</sup>, New York, North Carolina, Ohio, Oregon<sup>4</sup>, Rhode Island, Tennessee, Washington, West Virginia<sup>4</sup>
- Arizona, California, Connecticut, Hawaii, Idaho, Illinois, Indiana, Louisiana, Massachusetts, Mississippi, New Jersey, New Mexico, Oklahoma, Pennsylvania, South Carolina, Texas, Utah, Wisconsin
- 3. District of Columbia, Iowa, Kansas, Maine, Minnesota, Montana, Nebraska, New Hampshire, North Dakota, South Dakota, Vermont, Virginia, Wyoming
- 4. For new teachers.

Figure 78

Do states require that new teachers are observed early in the year?



- Strong Practice: Alabama, Idaho, Indiana, Kansas, Kentucky, Maryland, Minnesota, Nebraska, Nevada, New Jersey, North Dakota, Ohio, Oklahoma, Rhode Island, South Carolina, Tennessee, Washington, West Virginia
- 2. Alaska, Arizona, Arkansas, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Illinois, Iowa, Louisiana, Maine, Massachusetts, Michigan, Mississippi, Missouri, Montana, New Hampshire, New Mexico, New York, North Carolina, Oregon, Pennsylvania, South Dakota, Texas, Utah, Vermont, Virginia, Wisconsin, Wyoming

# Goal D - Tenure

The state should require that tenure decisions are based on evidence of teacher effectiveness.

## **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- A teacher should be eligible for tenure after a certain number of years of service, but tenure should not be granted automatically at that juncture.
- 2. Evidence of effectiveness should be the preponderant criterion in tenure decisions.
- The state should articulate a process, such as a hearing, that local districts must administer in considering the evidence and deciding whether a teacher should receive tenure.
- 4. The minimum years of service needed to achieve tenure should allow sufficient data to be accumulated on which to base tenure decisions; five years is the ideal minimum.



The components for this goal have changed since 2009. In light of state progress on this topic, the bar for this goal has been raised.

# **Background**



# Area 3: Goal D **Vermont** Analysis



State Does Not Meet Goal



Bar Raised for this Goal Progress Since 2009



### **ANALYSIS**

Vermont does not connect tenure decisions to evidence of teacher effectiveness.

Teachers in Vermont are awarded tenure automatically after a two-year probationary period, absent an additional process that evaluates cumulative evidence of teacher effectiveness.

## **Supporting Research**

Vermont Statute Title 16, Part 3, Chapter 53, Section 1752

### **RECOMMENDATION**

End the automatic awarding of tenure.

The decision to grant tenure should be a deliberate one, based on consideration of a teacher's commitment and actual evidence of classroom effectiveness.

- Ensure evidence of effectiveness is the preponderant criterion in tenure decisions.
  - Vermont should make evidence of effectiveness, rather than the number of years in the classroom, the most significant factor when determining this leap in professional standing.
- Articulate a process that local districts must administer when deciding which teachers get

Vermont should require a clear process, such as a hearing, to ensure that the local district reviews a teacher's performance before making a determination regarding tenure.

Require a longer probationary period.

Vermont should extend its probationary period, ideally to five years. This would allow for an adequate collection of sufficient data that reflect teacher performance.

### **VERMONT RESPONSE TO ANALYSIS**

Vermont recognized the factual accuracy of this analysis.

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Teachers may also earn career status with an average rating of at least effective for a four-year period and a rating of at least effective for the last two years.

<sup>2.</sup> Teachers who receive two years of ineffective evaluations are dismissed.

Figure 81		Some evidence of stydent	/
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# **T** EXAMPLES OF BEST PRACTICE

Michigan has increased its probationary period to five years and requires that evidence of effectiveness be the primary criterion in awarding tenure.

Figure 82 How are tenure decisions made?

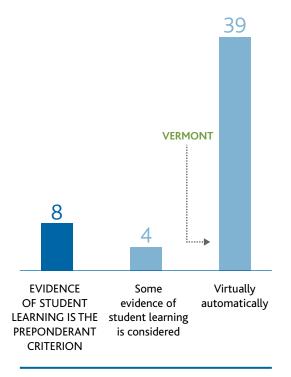


Figure 81

- 1. No state-level policy; however, the contract between DCPS and the teachers' union represents significant advancement in the area of
- 2. The state has created a loophole by essentially waiving student learning requirements and allowing the principal of a school to petition for career-teacher status.

# Goal E – Licensure Advancement

The state should base licensure advancement on evidence of teacher effectiveness.

# **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should base advancement from a probationary to a nonprobationary license on evidence of teacher effectiveness.
- 2. The state should not require teachers to fulfill generic, unspecified coursework requirements to advance from a probationary to a nonprobationary license.
- 3. The state should not require teachers to have an advanced degree as a condition of professional licensure.
- 4. Evidence of effectiveness should be a factor in the renewal of a professional license.



The components for this goal have changed since 2009. In light of state progress on this topic, the bar for this goal has been raised.

# **Background**



# Area 3: Goal E **Vermont** Analysis



State Does Not Meet Goal





Bar Raised for this Goal Progress Since 2009

### **ANALYSIS**

Vermont's requirements for licensure advancement and renewal are not based on evidence of teacher effectiveness.

In Vermont, to advance from a Level I license to a Level II Professional Educator's license, teachers must be recommended by the local or regional standards board; have successfully practiced in an endorsement area for three years; provide evidence of three relicensing credits, with at least one addressing the specific knowledge and performance standards of the advancing endorsement; and provide verification from an administrator who has supervised their work that they have demonstrated the competencies required by the endorsement at a professional level. Teachers must also submit an approved Individual Professional Development Plan, developed through analysis of professional practice and student learning data and articulating their professional development goals for the ensuing licensure period.

Vermont does not include evidence of effectiveness as a factor in the renewal of a professional license. To renew a level II professional license, Vermont teachers are required to submit an Individual Professional Development Plan (IPDP) and document nine professional development credits over the sevenyear validity period. Beginning in 2012, teachers must submit a Professional Portfolio for renewal based on their previous IPDP.

# Supporting Research

http://www.education.vermont.gov/new/html/licensing/forms/renewal\_non-lsb\_level\_2.html#portfolio

### **RECOMMENDATION**

- Require evidence of effectiveness as a part of teacher licensing policy.
  - Vermont should require evidence of teacher effectiveness to be a factor in determining whether teachers can renew their licenses or advance to a higher-level license.
- Discontinue licensure requirements with no direct connection to classroom effectiveness.

While targeted requirements may potentially expand teacher knowledge and improve teacher practice, Vermont's general, nonspecific professional development credit requirements for license renewal merely call for teachers to complete a certain amount of seat time. These requirements do not correlate with teacher effectiveness.

#### **VERMONT RESPONSE TO ANALYSIS**

Vermont recognized the factual accuracy of this analysis.

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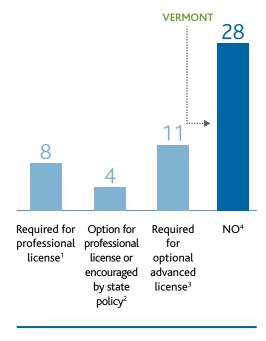


# **TEXAMPLES OF BEST PRACTICE**

Rhode Island is integrating certification, certification renewal and educator evaluation. Teachers who receive poor evaluations for five consecutive years are not eligible to renew their certification. In addition, teachers who consistently receive 'highly effective' ratings will be eligible for a special license designation.

Figure 85

Do states require teachers to earn advanced degrees before conferring professional licensure?

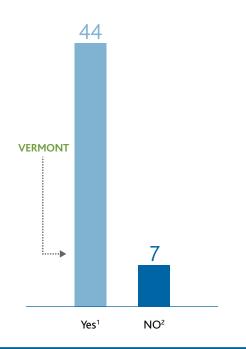


- 1. Connecticut, Kentucky, Maryland, Michigan, Mississippi, Montana, New York and Oregon all require a master's degree or coursework equivalent to a master's degree
- 2. Illinois, Massachusetts, Missouri, Tennessee
- 3. Alabama, Hawaii, Indiana, Iowa, Nebraska, New Mexico, Ohio. South Carolina, Utah, Virginia, West Virginia
- 4. Strong Practice: Alaska, Arizona, Arkansas, California, Colorado, Delaware, District of Columbia, Florida, Georgia, Idaho, Kansas, Louisiana, Maine, Minnesota, Nevada, New Hampshire, New Jersey, North Carolina, North Dakota, Oklahoma, Pennsylvania, Rhode Island, South Dakota, Texas, Vermont, Washington, Wisconsin, Wyoming

- 1. Illinois allows revocation of licenses based on ineffectiveness.
- 2. Maryland uses some objective evidence through their evaluation system for renewal, but advancement to professional license is still based on earning an advanced degree.

Figure 86

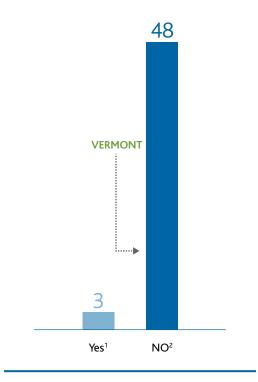
Do states require teachers to take additional, nonspecific coursework before conferring or renewing professional licenses?



- Alabama, Alaska, Arizona, Arkansas, Colorado, Connecticut, Delaware, District of Columbia, Florida, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, South Carolina, South Dakota, Tennessee, Texas, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin, Wyoming
- 2. Strong Practice: California, Georgia, Hawaii, Louisiana, New Jersey, New Mexico, Rhode Island

Figure 87

Do states award lifetime professional licenses?



- 1. New Jersey, Pennsylvania, West Virginia
- 2. Strong Practice: Alabama, Alaska, Arizona, Arkansas, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Mexico, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, Utah, Vermont, Virginia, Washington, Wisconsin, Wyoming

# Goal F – Equitable Distribution

The state should publicly report districts' distribution of teacher talent among schools to identify inequities in schools serving disadvantaged children.

## **Goal Components**

(The factors considered in determining the states' rating for the goal.)

The state should make the following data publicly available:

- An "Academic Quality" index for each school that includes factors research has found to be associated with teacher effectiveness, such as:
  - a. percentage of new teachers;
  - b. percentage of teachers failing basic skills licensure tests at least once;
  - c. percentage of teachers on emergency credentials;
  - d. average selectivity of teachers' undergraduate institutions; and
  - e. teachers' average ACT or SAT scores;
- The percentage of highly qualified teachers disaggregated by both individual school and by teaching area;
- The annual teacher absenteeism rate reported for the previous three years, disaggregated by individual school;
- 4. The average teacher turnover rate for the previous three years, disaggregated by individual school, by district and by reasons that teachers leave.

# Background



# Area 3: Goal F **Vermont** Analysis



State Meets a Small Part of Goal



**Progress Since 2009** 

### **ANALYSIS**

Providing comprehensive reporting may be the state's most important role for ensuring the equitable distribution of teachers among schools. Vermont reports little school-level data that can help support the equitable distribution of teacher talent.

Vermont does not collect or publicly report most of the data recommended by NCTQ. The state does not provide a school-level teacher quality index that demonstrates the academic backgrounds of a school's teachers and the ratio of new to veteran teachers. Vermont also does not report on teacher absenteeism or turnover rates.

Vermont does report on the percentage of teachers on emergency credentials and the percentage of highly qualified teachers. Commendably, these data are reported for each school, rather than aggregated by district. Vermont's Highly Qualified Teacher Plan, published in February 2007, compares the percentage of highly qualified teachers by poverty levels and minority populations, but it has not been updated.

# **Supporting Research**

Vermont's Highly Qualified Teacher Plan http://www.ed.gov/programs/teacherqual/hqtplans/vt.pdf 2009-2010 School-Level HQT and Emergency License Data http://education.vermont.gov/new/html/licensing/hqt.html#data

### **RECOMMENDATION**

# ■ Use a teacher quality index to report publicly about each school.

A teacher quality index, such as the one developed by the Illinois Education Research Council, with data including teachers' average SAT or ACT scores, the percentage of teachers failing basic skills licensure tests at least once, the selectivity of teachers' undergraduate colleges and the percentage of new teachers, can shine a light on how equitably teachers are distributed both across and within districts. Vermont should ensure that individual school report cards include such data in a manner that translates these factors into something easily understood by the public, such as a color-coded matrix indicating a school's high or low score.

# Publish other data that facilitate comparisons across schools.

Vermont should collect and report other school-level data that reflect the stability of a school's faculty, including the rates of teacher absenteeism and turnover.

### Provide comparative data based on school demographics.

Providing comparative data for schools with similar poverty and minority populations would yield a more comprehensive picture of gaps in the equitable distribution of teachers.

#### Ensure that data are current.

It is important to keep data updated and current in order to provide the public with an accurate picture of teacher distribution across schools in districts. Vermont should update the data it reports on the percentage of highly qualified teachers at the school level, which it has not done since 2006-2007.

### **VERMONT RESPONSE TO ANALYSIS**

Vermont was helpful in providing NCTQ with the facts necessary for this analysis.

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No state has an outstanding record when it comes to public reporting of teacher data that can help to ameliorate inequities in teacher quality. However, Connecticut, New Jersey, New York, North Carolina, Rhode Island and South Carolina report more school-level data than other states.

Ideally, percentage of new teachers and percentage of teachers on emergency credentials would be incorporated into a teacher quality index.

# **Area 4: Retaining Effective Teachers**

# Goal A - Induction

The state should require effective induction for all new teachers, with special emphasis on teachers in high-needs schools.

## **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- The state should ensure that new teachers receive mentoring of sufficient frequency and duration, especially in the first critical weeks of school.
- Mentors should be carefully selected based on evidence of their own classroom effectiveness and subject-matter expertise. Mentors should be trained, and their performance as mentors should be evaluated.
- Induction programs should include only strategies that can be successfully implemented, even in a poorly managed school. Such strategies include intensive mentoring, seminars appropriate to grade level or subject area, a reduced teaching load and frequent release time to observe effective teachers.

# Background



# Area 4: Goal A **Vermont** Analysis



State Does Not Meet Goal



Progress Since 2009

### **ANALYSIS**

Vermont does not require a mentoring program or any other induction support for its new teachers.

#### **RECOMMENDATION**

■ Ensure that a high-quality mentoring experience is available to all new teachers, especially those in low-performing schools.

Vermont should ensure that all new teachers—and especially any teacher in a low-performing school—receive mentoring support, especially in the first critical weeks of school.

Set specific parameters.

To ensure that all teachers receive high-quality mentoring, the state should specify how long the program lasts for a new teacher, who selects the mentors and a method of performance evaluation.

Require induction strategies that can be successfully implemented, even in poorly managed schools.

To ensure that the experience is meaningful, Vermont should guarantee that induction includes strategies such as intensive mentoring, seminars appropriate to grade level or subject area and a reduced teaching load and/or frequent release time to observe other teachers.

### **VERMONT RESPONSE TO ANALYSIS**

Vermont noted that last spring the state adopted Act 20 which requires a study group to consider how it might better provide mentoring support for teachers, new principals and new technical center directors.

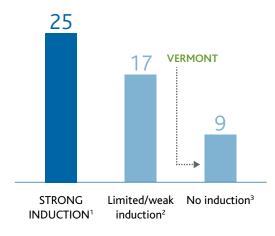
100 : NCTQ STATE TEACHER POLICY YEARBOOK 2011 VERMONT

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South Carolina requires that all new teachers, prior to the start of the school year, be assigned mentors for at least one year. Districts carefully select mentors based on experience and similar certifications and grade levels, and mentors undergo additional training. Adequate release time is mandated by the state so that mentors and new teachers may observe each other in the classroom, collaborate on effective teaching techniques and develop professional growth plans. Mentor evaluations are mandatory and stipends are recommended.

Figure 92
Do states have policies that articulate the elements of effective induction?



- Strong Practice: Alabama, Arkansas, California, Colorado, Connecticut, Delaware, Iowa, Kansas, Kentucky, Maine, Maryland, Massachusetts, Michigan, Mississippi, Missouri, Nebraska, New Jersey, New York, North Carolina, Oklahoma, Rhode Island, South Carolina, Utah, Virginia, West Virginia
- Alaska, Arizona, Florida, Idaho, Illinois, Minnesota, Montana, New Mexico, North Dakota, Ohio, Oregon, Pennsylvania, South Dakota, Tennessee, Texas, Washington, Wisconsin
- 3. District of Columbia, Georgia, Hawaii, Indiana, Louisiana, Nevada, New Hampshire, Vermont, Wyoming

102 : NCTQ STATE TEACHER POLICY YEARBOOK 2011 VERMONT

# **Area 4: Retaining Effective Teachers**

# Goal B - Professional Development

The state should require professional development to be based on needs identified through teacher evaluations.

## **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should require that evaluation systems provide teachers with feedback about their performance.
- 2. The state should direct districts to align professional development activities with findings from teachers' evaluations.

# Background



# Area 4: Goal B **Vermont** Analysis



State Does Not Meet Goal



Progress Since 2009

### **ANALYSIS**

Vermont does not have state-level policy that connects professional development to teachers' evaluations.

### **RECOMMENDATION**

- Require that evaluation systems provide teachers with feedback about their performance. In order to increase their effectiveness in the classroom, teachers need to receive feedback on strengths and areas that need improvement identified in their evaluations. As such, Vermont should require that evaluation systems provide teachers with feedback about their classroom performance.
- Ensure that professional development is aligned with findings from teachers' evaluations. Professional development that is not informed by evaluation results may be of little value to teachers' professional growth and aim of increasing their effectiveness in the classroom. Vermont should ensure that districts utilize teacher evaluation results in determining professional development needs and activities.

### **VERMONT RESPONSE TO ANALYSIS**

Vermont recognized the factual accuracy of this analysis.

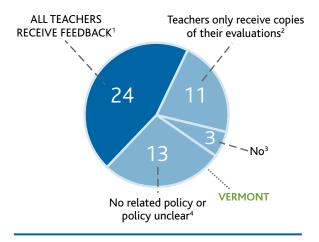


# **EXAMPLES OF BEST PRACTICE**

Ten states meet this goal, and although NCTQ has not singled out one state's policies for "best practice" honors, **Louisiana** is commended for clearly articulating that the feedback provided to a teacher in a post-observation conference must include a discussion of a teacher's strengths and weaknesses.

Figure 94

Do teachers receive feedback on their evaluations?

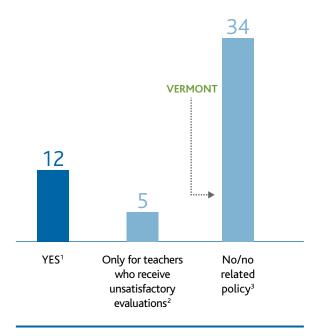


- Strong Practice: Arkansas, Connecticut, Delaware, Georgia, Hawaii, Illinois, Kentucky, Louisiana, Massachusetts, Michigan, Mississippi, Missouri, New Jersey, New Mexico, New York, North Carolina, Oregon, Rhode Island, South Carolina, Tennessee, Texas, Washington, West Virginia, Wyoming
- 2. Alaska, Arizona, California, Colorado, Florida, Indiana, Kansas, Maryland, Nevada, Ohio, Oklahoma
- 3. Minnesota, Pennsylvania, Utah
- 4. Alabama, District of Columbia, Idaho, Iowa, Maine, Montana, Nebraska, New Hampshire, North Dakota, South Dakota, Vermont, Virginia, Wisconsin



Figure 96

Do states require that teacher evaluations inform professional development?



- Strong Practice: Arkansas, Connecticut, Delaware, Florida, Louisiana, Michigan, Minnesota, Missouri, North Carolina, Rhode Island, South Carolina, Wyoming
- 2. Colorado, Georgia, Illinois, Indiana, Texas
- 3. Alabama, Alaska, Arizona, California, District of Columbia, Hawaii, Idaho, Iowa, Kansas, Kentucky, Maine, Maryland, Massachusetts, Mississippi<sup>4</sup>, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, South Dakota, Tennessee, Utah, Vermont, Viiginia, Washington, West Viiginia, Wisconsin
- 4. Mississippi requires professional development based on evaluation results only for teachers in need of improvement in school identified as at-risk.

## Goal C - Pay Scales

The state should give local districts authority over pay scales.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- While the state may find it appropriate to articulate teachers' starting salaries, it should not require districts to adhere to a state-dictated salary schedule that defines steps and lanes and sets minimum pay at each level.
- The state should discourage districts from tying additional compensation to advanced degrees. The state should eliminate salary schedules that establish higher minimum salaries or other requirements to pay more to teachers with advanced degrees.
- 3. The state should discourage salary schedules that imply that teachers with the most experience are the most effective. The state should eliminate salary schedules that require that the highest steps on the pay scale be determined solely be seniority.

### **Background**



# Area 4: Goal C **Vermont** Analysis



State Partly Meets Goal



Progress Since 2009

#### **ANALYSIS**

Vermont does not address salary requirements, seemingly giving local districts the authority for pay scale and eliminating barriers such as state salary schedules and other regulations that control how districts pay teachers.

#### **RECOMMENDATION**

■ Discourage districts from tying compensation to advanced degrees.

While still leaving districts the flexibility to establish their own pay scale, Vermont should articulate policies that definitively discourage districts from tying compensation to advanced degrees, in light of the extensive research showing that such degrees do not have an impact on teacher effectiveness.

Discourage salary schedules that imply that teachers with the most experience are the most effective.

Similarly, Vermont should articulate policies that discourage districts from determining the highest steps on the pay scale solely by seniority.

#### **VERMONT RESPONSE TO ANALYSIS**

Vermont recognized the factual accuracy of this analysis.

108 : NCTQ STATE TEACHER POLICY YEARBOOK 2011 VERMONT

## **\*** EXAMPLES OF BEST PRACTICE

Florida and Indiana allow local districts to develop their own salary schedules while preventing districts from focusing on elements not associated with teacher effectiveness. In Florida, local salary schedules must ensure that the most effective teachers receive salary increases greater than the highest annual salary adjustment available. Indiana requires local salary scales to be based on a combination of factors and limits the years of teacher experience and content-area degrees to account for no more than one-third of this calculation.

Figure 98  What role does the state		Sets minimum salany	□ DISTRICTS SET SALARY
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	16	8	27
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<sup>1.</sup> Colorado gives districts the option of a salary schedule, a performance pay policy or a combination of both.

<sup>2.</sup> Rhode Island requires that local district salary schedules are based on years of service, experience and training.

Figure 99	REQUIRES PERFORMANCE	<b>≥</b> /	Requires compensation advanced degrees
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<sup>1.</sup> Rhode Island requires local district salary schedules to include teacher "training".

<sup>2.</sup> Texas has a minimum salary schedule based on years of experience. Compensation for advanced degrees is left to district discretion.

## Goal D - Compensation for Prior Work Experience

The state should encourage districts to provide compensation for related prior subject-area work experience.

#### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

1. The state should encourage districts to compensate new teachers with relevant prior work experience through mechanisms such as starting these teachers at an advanced step on the pay scale. Further, the state should not have regulatory language that blocks such strategies.

### Background



# Area 4: Goal D **Vermont** Analysis



State Does Not Meet Goal



Progress Since 2009

#### **ANALYSIS**

Vermont does not encourage local districts to provide compensation for related prior subject-area work experience. However, the state does not seem to have regulatory language blocking such strategies.

#### **RECOMMENDATION**

■ Encourage local districts to compensate new teachers with relevant prior work experience.

While still leaving districts with the flexibility to determine their own pay scales, Vermont should encourage districts to incorporate mechanisms such as starting these teachers at a higher salary than other new teachers. Such policies would be attractive to career changers with related work experience, such as in the STEM subjects.

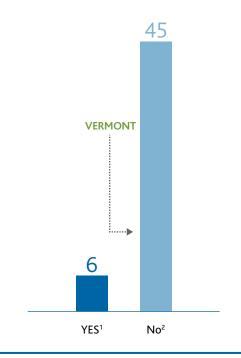
### **VERMONT RESPONSE TO ANALYSIS**

Vermont recognized the factual accuracy of this analysis.



North Carolina compensates new teachers with relevant prior-work experience by awarding them one year of experience credit for every year of full-time work after earning a bachelor's degree that is related to their area of licensure and work assignment. One year of credit is awarded for every two years of work experience completed prior to earning a bachelor's degree.

Figure 101 Do states direct districts to compensate teachers for related prior work experience?



- 1. Strong Practice: California, Delaware, Georgia, North Carolina, Texas, Washington
- 2. Alabama, Alaska, Arizona, Arkansas, Colorado, Connecticut, District of Columbia, Florida, Hawaii, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Utah, Vermont, Virginia, West Virginia, Wisconsin, Wyoming

# Goal E - Differential Pay

The state should support differential pay for effective teaching in shortage and high-need areas.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should support differential pay for effective teaching in shortage subject areas.
- 2. The state should support differential pay for effective teaching in high-need schools.
- 3. The state should not have regulatory language that would block differential pay.

### Background



# Area 4: Goal E **Vermont** Analysis



State Meets a Small Part of Goal



Progress Since 2009

#### **ANALYSIS**

Vermont does not support differential pay in which a teacher can earn additional compensation by teaching certain subjects. The state does, however, support loan forgiveness. Loans may be partially or completely canceled for licensed teachers of mathematics, science or computer science when the subject is deemed to be a critical shortage area.

Vermont does not support differential pay for those teaching in high-needs schools; however, the state has no regulatory language that would directly block districts from providing differential pay.

Teachers who are National Board Certified are eligible to receive a \$1,000 annual salary stipend for three years. However, this type of differential pay is not tied to high-needs schools or subject-area shortages.

#### **Supporting Research**

Vermont Statute 2869

Vermont National Board Certified Teacher

http://education.vermont.gov/new/html/licensing/nbct.html

#### **RECOMMENDATION**

**Expand differential pay initiatives for teachers in subject shortage areas.** 

Although the state's loan forgiveness program is a desirable recruitment and retention tool for teachers early in their careers, Vermont should expand its program to include those already part of the teaching pool. A salary differential is an attractive incentive for every teacher, not just those with education debt.

■ Support differential pay for teachers in high-needs schools.

Vermont should consider tying its National Board supplements to teaching in a high-needs school. This differential pay could be an incentive to attract some of the state's most effective teachers to its low-performing schools.

#### **VERMONT RESPONSE TO ANALYSIS**

Vermont recognized the factual accuracy of this analysis.

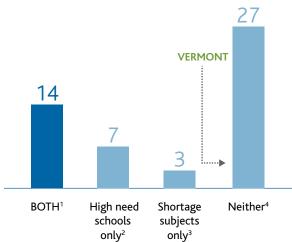
Figure 103		HIGH NEED SCHOOLS		SHORTAGE SUBJECT	- /
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- Connecticut offers mortgage assistance and incentives to retired teachers working in shortage subject areas.
- Maryland offers tuition reimbursement for teacher retraining in specified shortage subject areas and offers a stipend for alternate route candidates teaching in shortage subject areas.
- 3. South Dakota offers signing bonuses and scholarships to fill shortages in high-need schools.
- Shortage subject area differential pay is limited to the Middle School Teacher Corps program.



Georgia supports differential pay by which teachers can earn additional compensation by teaching certain subjects. The state is especially commended for its new compensation strategy for math and science teachers, which moves teachers along the salary schedule rather than just providing a bonus or stipend. The state also supports differential pay initiatives to link compensation more closely with district needs and to achieve a more equitable distribution of teachers. Georgia's efforts to provide incentives for National Board Certification teachers to work in high-need schools are also noteworthy.

Figure 104 Do states support differential pay for teaching in high need schools and shortage subjects?



- 1. Strong Practice: Arkansas, California, Florida, Georgia, Kentucky, Louisiana, Massachusetts, Nevada, New York, Ohio, Oklahoma, Tennessee, Texas,
- 2. Colorado, Hawaii, Maryland, North Carolina, Washington, Wisconsin, Wyoming
- 3. Idaho, Pennsylvania, Utah
- 4. Alabama, Alaska, Arizona, Connecticut, Delaware, District of Columbia, Illinois, Indiana, Iowa, Kansas, Maine, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, New Hampshire, New Jersey, New Mexico, North Dakota, Oregon, Rhode Island, South Carolina, South Dakota, Vermont, West Virginia

# Goal F – Performance Pay

The state should support performance pay but in a manner that recognizes its appropriate uses and limitations.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should support performance pay efforts, rewarding teachers for their effectiveness in the classroom.
- 2. The state should allow districts flexibility to define the criteria for performance pay provided that such criteria connect to evidence of student achievement.
- 3. Any performance pay plan should allow for the participation of all teachers, not just those in tested subjects and grades.

### Background



## Area 4: Goal F **Vermont** Analysis



State Does Not Meet Goal



Progress Since 2009

#### **ANALYSIS**

Vermont does not support performance pay. The state does not have any policies in place that offer teachers additional compensation based on evidence of effectiveness.

#### **RECOMMENDATION**

■ Support a performance pay plan that recognizes teachers for their effectiveness.

Whether it implements the plan at the state or local level, Vermont should ensure that performance pay structures thoughtfully measure classroom performance and connect student achievement to teacher effectiveness. The plan must be developed with careful consideration of available data and subsequent issues of fairness.

Consider piloting performance pay in a select number of school districts.

This would provide an opportunity to discover and correct any limitations in available data or methodology before implementing the plan on a wider scale.

#### **VERMONT RESPONSE TO ANALYSIS**

Vermont recognized the factual accuracy of this analysis.

## **\*\*** EXAMPLES OF BEST PRACTICE

An increasing number of states are supporting performance pay initiatives. **Florida** and **Indiana** are particularly noteworthy for their efforts to build performance into the salary schedule. Rather than award bonuses, teachers' salaries will be based in part on their performance in the classroom.

Figure 106	PERFORMANCE FACTORES	PERORMANCE BOW	Performance Pay Permi	/6 /	Does not support Performance Pay
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<sup>1.</sup> Nebraska's initiative does not go into effect until 2016.

## Goal G – Pension Flexibility

The state should ensure that pension systems are portable, flexible and fair to all teachers.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- Participants in the state's pension system should have the option of a fully portable pension system as their primary pension plan by means of a defined contribution plan or a defined benefit plan that is formatted similar to a cash balance plan.
- Participants in the state's pension system should be vested no later than the third year of employment.
- 3. Defined benefit plans should offer teachers the option of a lump-sum rollover to a personal retirement account upon termination of employment that includes, at minimum, the teacher's contributions and accrued interest at a fair interest rate. In addition, withdrawal options from either defined benefit or defined contribution plans should include funds contributed by the employer.
- 4. Defined benefit plans should allow teachers to purchase time for unlimited previous teaching experience at the time of employment. Teachers should also be allowed to purchase time for all official leaves of absence, such as maternity or paternity leave.

### Background



## Area 4: Goal G **Vermont** Analysis



State Meets a Small Part of Goal



Progress Since 2009

#### **ANALYSIS**

Vermont only offers a defined benefit pension plan to its teachers as their mandatory pension plan. This plan is not fully portable, does not vest until year five and does not provide any employer contribution for teachers who choose to withdraw their account balances when leaving the system. It also limits flexibility by restricting the ability to purchase years of service.

Teachers in Vermont also participate in Social Security, so they must contribute to the state's defined benefit plan in addition to Social Security. Although retirement savings in addition to Social Security are good and necessary for most individuals, the state's policy results in mandated contributions to two inflexible plans, rather than permitting teachers options for their state-provided savings plans.

Vesting in a defined benefit plan guarantees a teacher's eligibility to receive lifetime monthly benefit payments at retirement age. Nonvested teachers do not have a right to later retirement benefits; they may only withdraw the portion of their funds allowed by the plan. Vermont's vesting at five years of service limits the options of teachers who leave the system prior to this point.

Teachers in Vermont who choose to withdraw their contributions upon leaving only receive their own employee contributions. This means that those who withdraw their funds accrue fewer benefits than what they might have earned had they simply put their contributions in basic savings accounts. Further, teachers who remain in the field of education but enter another pension plan (such as in another state) will find it difficult to purchase the time equivalent to their prior employment in the new system because they are not entitled to any employer contribution.

Vermont limits teachers' flexibility to purchase years of service. The ability to purchase time is important because defined benefit plans' retirement eligibility and benefit payments are often tied to the number of years a teacher has worked. Vermont's plan allows teachers to purchase time for previous teaching experience, up to 10 years of total purchased time for all non-military categories. While better than not allowing any purchase at all, this provision disadvantages teachers who move to Vermont with more teaching experience or who want to purchase time for a variety of reasons. The state's plan allows teachers to purchase time for approved leaves of absence, including maternity or paternity leaves. In addition, the state allows teachers to purchase up to five years of "air time" for any reason once they have 25 years of service. However, all of these purchases combined may not exceed the 10 year limit.

#### **Supporting Research**

The Vermont State Teachers' Retirement System, Group C Plan Description

http://www.vermonttreasurer.gov/retirement/teachers-group-c#intro

The Vermont State Teachers' Retirement System, Changes to Pension Benefits May 2010

http://www.vermonttreasurer.gov/sites/treasurer/files/pdf/retireTeacher/misc/010\_-\_Act\_74\_-\_Informational\_Mailing\_-\_final.pdf

#### **RECOMMENDATION**

### Offer teachers a pension plan that is fully portable, flexible and fair.

Vermont should offer teachers for their mandatory pension plan the option of either a defined contribution plan or a fully portable defined benefit plan, such as a cash balance plan. A well-structured defined benefit plan could be a suitable option among multiple plans. However, as the sole option, defined benefit plans severely disadvantage mobile teachers and those who enter the profession later in life. Because teachers in Vermont participate in Social Security, they are required to contribute to two defined benefit-style plans.

### Increase the portability of the defined benefit plan.

If Vermont maintains its defined benefit plan, it should allow teachers that leave the system to withdraw employer contributions. The state should also allow teachers to purchase their full amount of previous teaching experience, at least one year per approved leave of absence, and decrease the vesting requirement to year three. A lack of portability is a disincentive to an increasingly mobile teaching force.

#### Offer a fully portable supplemental retirement savings plan.

If Vermont maintains its defined benefit plan, the state should at least offer teachers the option of a fully portable supplemental defined contribution savings plan, with employers matching a percentage of teachers' contributions.

#### **VERMONT RESPONSE TO ANALYSIS**

The State Teachers' Retirement System of Vermont did not respond to repeated requests to review NCTQ's analyses related to teacher pensions.

**Accrued Liability:** The value of a pension plan's promised benefits calculated by an actuary (actuarial valuation), taking into account a set of investment and benefit assumptions to a certain date.

**Actuarial Valuation:** In a pension plan, this is the total amount needed to meet promised benefits. A set of mathematical procedures is used to calculate the value of benefits to be paid, the funds available and the annual contribution required.

**Amortization Period:** The gradual elimination of a liability, such as a mortgage, in regular payments over a specified period of time.

**Benefit Formula:** Formula used to calculate the amount teachers will receive each month after retirement. The most common formula used is (years of service x final average salary x benefit multiplier). This amount is divided by 12 to calculate monthly benefits.

**Benefit Multiplier:** Multiplier used in the benefit formula. It, along with years of service, determines the total percentage of final average salary that a teacher will receive in retirement benefits. In some plans, the multiplier is not constant, but changes depending upon retirement age and/or years of service.

**Defined Benefit Plan:** Pension plan that promises to pay a specified amount to each person who retires after a set number of years of service. Employees contribute to them in some cases; in others, all contributions are made by the employer.

**Defined Contribution Plan:** Pension plan in which the level of contributions is fixed at a certain level, while benefits vary depending on the return from investments. Employees make contributions into a tax-deferred account, and employers may or may not make contributions. Defined contribution pension plans, unlike defined benefit pension plans, give the employee options of where to invest the account, usually among stock, bond and money market accounts.

**Lump-sum Withdrawal:** Large payment of money received at one time instead of in periodic payments. Teachers leaving a pension plan may receive a lump-sum distribution of the value of their pension.

**Normal Cost:** The amount necessary to fund retirement benefits for one plan year for an individual or a whole pension plan.

**Pension Wealth:** The net present value of a teacher's expected lifetime retirement benefits.

**Purchasing Time:** A teacher may make additional contributions to a pension system to increase service credit. Time may be purchased for a number of reasons, such as professional development leave, previous out-of-state teaching experience, medical leaves of absence or military service.

**Service Credit/Years of Service:** Accumulated period of time in years or partial years for which a teacher earned compensation subject to contributions.

**Supplemental Retirement Plan:** An optional plan to which teachers may voluntarily make tax-deferred contributions in addition to their mandatory pension plans. Employees are usually able to choose their rate of contribution up to a maximum set by the IRS; some employers also make contributions. These plans are generally in the form of 457 or 403(b) programs.

**Vesting:** Right an employee gradually acquires by length of service to receive employer-contributed benefits, such as payments from a pension fund.

Sources: Barron's Dictionary of Finance and Investment Terms, Seventh Edition; California State Teachers' Retirement System http://www.calstrs.com/Members/Defined%20Benefit%20Program/glossary.aspx; Economic Research Institute, http://www.eridlc.com/resources/index.cfm?fuseaction=resource.glossary

Figure 109		Defined benefit plan with class	hed /	CHOICE OF DEFINED BEALT	§ / \
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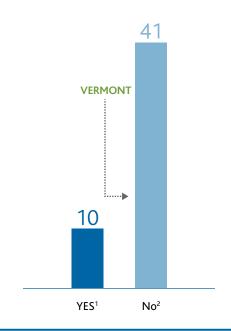
### **T** EXAMPLES OF BEST PRACTICE

Alaska provides a fair and flexible defined contribution pension plan for all teachers. This plan is also highly portable, as teachers are entitled to 100 percent of employer contributions after five years of service. South Dakota's defined benefit plan has some creative provisions, which makes it more like a defined contribution plan. Most notably, teachers are able to withdraw 85 percent of their employer contributions after three years of service. In addition, Florida, Ohio, South Carolina and Utah are noteworthy for offering teachers a choice between a defined benefit or hybrid plan and a defined contribution plan.

- 1. A hybrid plan has components of both a defined benefit plan and a defined contribution plan.
- 2. California offers a small cash balance component but ended most of the funding to this portion as of January 1, 2011.
- 3. Indiana also offers a supplemental defined contribution plan.
- 4. Ohio also offers the option of a hybrid plan and offers a supplemental defined contribution plan.
- 5. Oregon also offers a supplemental defined contribution plan.
- 6. South Carolina also offers a supplemental defined contribution plan.
- 7. Utah offers a choice between a defined contribution or a hybrid plan.
- 8. Washington offers a choice between a defined benefit or a hybrid plan.

Figure 110

Do states offer teachers an option other than a nonportable defined benefit plan?



- 1. Strong Practice: Alaska, Florida, Indiana, Michigan, Ohio, Oregon, South Carolina, South Dakota, Utah, Washington
- Alabama, Arizona, Arkansas, California, Colorado<sup>3</sup>, Connecticut, Delaware, District of Columbia, Georgia, Hawaii<sup>3</sup>, Idaho, Illinois, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Oklahoma, Pennsylvania, Rhode Island, Tennessee, Texas, Vermont, Virginia, West Virginia, Wisconsin, Wyoming
- 3. Although not fully portable, the state's defined benefit plan has some notable portability provisions.

Figure 111

- 1. For teachers who join the system on or after January 1, 2012.
- 2. Florida's defined benefit plan does not vest until year eight; teachers vest in the state's defined contribution plan after one year.
- 3. For teachers who join the system on or after July 1, 2012.
- 4. Ohio's defined benefit plan does not vest until year five; teachers vest in the state's defined contribution plan after one year.
- Oregon offers a hybrid plan in which teachers vest immediately in the defined contribution component and vest in the defined benefit component after five years.
- 6. South Carolina's defined benefit plan does not vest until year five; teachers vest immediately in the state's defined contribution plan.
- 7. Based on Washington's Plan 2. The state also offers a hybrid plan in which teachers vest immediately in the defined contribution component and vest in the defined benefit component after 10 years.

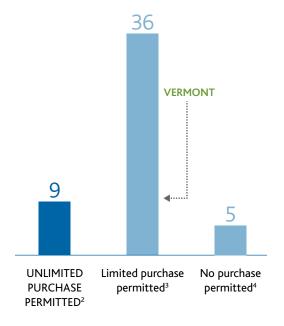
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- States' withdrawal policies may vary depending on a teacher's years of service. Year five is used as a common point of comparision.
- As of July 1, 2006, Alaska only offers a defined contribution plan to new members, which allows teachers leaving the system after five years to withdraw 100 percent of the employer contribution.
- California has a defined benefit plan with a small cash balance component, which allows exiting teachers to withdraw their contributions and any employer contributions plus earnings from their cash balance component, regardless of their actions regarding their defined benefit account.
- 4. Once vested, lowa teachers may withdraw an employer match equal to one-thirtieth of their years of service. Effective July 1, 2012 teachers vest at seven years of service, so a teacher leaving at year five would not be entitled to any employer contribution.
- 5. Michigan only offers a hybrid plan. Exiting teachers may withdraw their own contributions and accrued earnings immediately and the employer contributions to the defined contribution component once vested at year four. Michigan teachers may withdraw their own contributions and accrued interest from the defined benefit component but may not withdraw the employer contribution.
- 6. Most teachers in Nevada fund the system by salary reductions or forgoing pay raises and thus do not have direct contributions to withdraw. The small mintority that are in a contributory system may withdraw their contributions plus interest.
- 7. Ohio has two other pension plans. Ohio's defined contribution plan allows teachers with at least one year of service who are leaving the system to withdraw 100 percent of the employer contribution. Exiting teachers with at least five years of experience in Ohio's combination plan may withdraw their employee-funded defined contribution component and the present value of the benefits offered in the defined benefit component.
- Oregon only has a hybrid retirement plan, which allows exiting teachers to withdraw their contributions plus earnings from their defined contribution component; they still receive the employer-funded defined benefit payments at retirement age.
- South Carolina also has a defined contribution plan, which allows exiting teachers to withdraw 100 percent of their contributions and employer contributions, plus earnings.
- 10. Utah offers a hybrid pension plan, which only has employee contributions when the costs exceed the guaranteed employer contribution. When costs are less than the employer contribution, the excess is contributed to the employee account and refundable after vesting.
- 11. Washington also has a hybrid plan, which allows exiting teachers to withdraw their contributions plus earnings from their defined contribution component; they still receive the employer-funded defined benefit payments at retirement age.

Figure 113

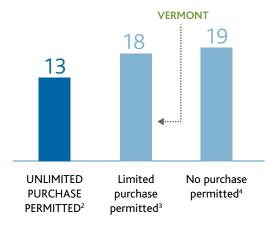
Do states permit teachers to purchase time for previous teaching experience?<sup>1</sup>



- Purchasing time does not apply to defined contribution plans. In states that offer multiple plans or a hybrid plan, the graph refers to the state's defined benefit plan or the defined benefit component of its hybrid plan. Alaska only offers a defined contribution plan and is not included.
- Strong Practice: California, Iowa, Kansas, Louisiana, New Hampshire, North Dakota, South Carolina, South Dakota, Utah
- Alabama, Arizona, Arkansas, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Idaho, Illinois, Indiana, Kentucky, Maine, Maryland, Massachusetts, Mississippi, Missouri, Montana, Nebraska, Nevada, New Jersey, New Mexico, North Carolina, Ohio, Oklahoma, Pennsylvania, Rhode Island, Tennessee, Texas, Vermont, Virginia, Washington, West Virginia, Wisconsin, Wyoming
- ${\it 4.\ Hawaii,\ Michigan,\ Minnesota,\ New\ York,\ Oregon}$

Figure 114

Do states permit teachers to purchase time for leaves of absence?<sup>1</sup>



- Purchasing time does not apply to defined contribution plans. In states that offer multiple plans or a hybrid plan, the graph refers to the state's defined benefit plan or the defined benefit component of its hybrid plan. Alaska only offers a defined contribution plan and is not included.
- 2. Strong Practice: Alabama, California, Delaware, Illinois, Iowa, Maryland, Minnesota, Missouri, Nebraska, North Dakota, Ohio, South Carolina, South Dakota
- 3. Arizona, Connecticut, District of Columbia, Florida, Idaho, Indiana, Kentucky, Louisiana, Massachusetts, Montana, New Jersey, North Carolina, Oklahoma, Rhode Island, Vermont, Virginia, Washington, Wyoming
- Arkansas, Colorado, Georgia, Hawaii, Kansas, Maine, Michigan, Mississippi, Nevada, New Hampshire, New Mexico, New York, Oregon, Pennsylvania, Tennessee, Texas, Utah, West Virginia,

## Goal H – Pension Sustainability

The state should ensure that excessive resources are not committed to funding teachers' pension systems.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- The state should ensure that its pension system is financially sustainable, without excessive unfunded liabilities or an inappropriately long amortization period.
- Mandatory employer and employee contribution rates should not be unreasonably high, as they reduce teachers' paychecks and commit district resources that could otherwise be spent on salaries or incentives.

## **Background**



## Area 4: Goal H **Vermont** Analysis



State Meets a Small Part of Goal



Progress Since 2009

#### **ANALYSIS**

As of June 30, 2010, the most recent date for which an actuarial valuation is available, Vermont's defined benefit pension system for teachers is 66.5 percent funded and has a 30-year amortization period. This means that if the plan earns its assumed rate of return and maintains current contribution rates, it would take the state 30 years to pay off its unfunded liabilities. While its amortization period meets regulatory benchmarks, Vermont's funding level is too low. The state's system is not financially sustainable according to actuarial benchmarks.

Vermont does not commit excessive resources toward its teachers' retirement system. The mandatory employee contribution rate to the defined benefit plan of 5 percent is reasonable. The current employer contribution rate, which is paid by the state, of 7.4 percent is not unreasonable. However, districts and teachers must also contribute 6.2 percent to Social Security. This puts the total employer contribution rate very close to an excessive contribution requirement. The employer rate is recommended to increase to 8.68 percent and 8.72 percent for the next fiscal years. While these rates allow the state to pay off liabilities, they do so at great cost, precluding Vermont from spending those funds on other, more immediate means to retain talented teachers.

### **Supporting Research**

The Vermont Statutes Online, Title 16, Chapter 55

http://www.leg.state.vt.us/statutes/fullsection.cfm?Title=16&Chapter=055&Section=01944

2010 Annual Report, Office of the State Treasurer, State of Vermont

http://www.vermonttreasurer.gov/sites/treasurer/files/pdf/annualreport/2010\_Annual\_Report\_State\_Treasurers\_Office.pdf

#### **RECOMMENDATION**

## ■ Ensure that the pension system is financially sustainable.

The state would be better off if its system was over 95 percent funded to allow more protection during financial downturns. However, Vermont should consider ways to improve its funding level without raising the contributions of the state and teachers. Committing excessive resources to pension benefits can negatively affect teacher recruitment and retention. Improving funding levels necessitates, in part, systemic changes in the state's pension system. Goals 4-G and 4-I provide suggestions for pension system structures that are both sustainable and fair.

#### **VERMONT RESPONSE TO ANALYSIS**

The State Teachers' Retirement System of Vermont did not respond to repeated requests to review NCTQ's analyses related to teacher pensions.

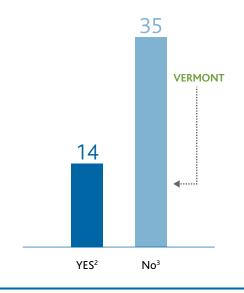
Do state pension systems meet standard benchmarks for financial health?  Alabama	Figure 116		
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### TEXAMPLES OF BEST PRACTICE

South Dakota, Tennessee and Wisconsin provide financially sustainable pension systems without committing excessive resources. The systems in these states are fully funded without requiring excessive contributions from teachers or school districts.

Figure 117 Are state pension systems financially sustainable?1



- 1. Cannot be determined for Michigan or Utah, which recently opened new systems.
- 2. Strong Practice: Alaska, Delaware, District of Columbia, Florida, Georgia, Indiana<sup>4</sup>, Nebraska, New York, North Carolina, Oregon, South Dakota, Tennessee, Washington, Wisconsin
- 3. Alabama, Arizona, Arkansas, California, Colorado, Connecticut, Hawaii, Idaho, Illinois, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Minnesota, Mississippi, Missouri, Montana, Nevada, New Hampshire, New Jersey, New Mexico, North Dakota, Ohio, Oklahoma, Pennsylvania, Rhode Island, South Carolina, Texas, Vermont, Virginia, West Virginia, Wyoming
- 4. Based on Indiana's current plan only.

Figure 116

- 1. The amortization period is set to be under 30 years; however, the amortization period is not determined because the state is not meeting its annual required contribution.
- 2. Michigan opened a new system in July 2010.
- 3. Utah opened a new system in July 2011.

Figure 118

Real Rate of Return

The pension system funding levels reported here are based on each state's individual actuarial valuation, which use a series of varying assumptions. One of these assumptions concerns rate of return, which greatly affects a system's funding level. If investment returns fall short of assumptions, the fund will have a deficit; if returns are greater than expected, the fund will have a surplus. Higher assumed rates involve more risk, while rates closer to inflation (typically in the 3-5 percent range) are safer.

Most state pension funds assume a rate between 7.5 percent and 8.25 percent. A state using a 7.5 percent rate will report a lower funding level than if it had used 8.25 percent, even though its liabilities remain the same. Many states report that they do meet or exceed an eight percent rate of return over the life of the plan.

However, some economists argue that states' assumed rates of return are too high, and should instead be closer to four percent. They caution that the risk associated with states' higher rates is borne by taxpayers, with the result that tax rates rise to fund pension deficits. A rate closer to four percent would make the vast majority of the nation's pension systems less than 50 percent funded. In light of the current market situation, the debate over the rate of return is particularly timely. With no current consensus by experts or policymakers, NCTQ used states' self-reported numbers rather than recalculate all funding levels based on a standard rate of return. Considering how many states' systems NCTQ found in questionable financial health without using the lower rates some economists prefer, it is clear this is an issue that demands policymakers' attention.

Figure 119

Figure 119

How well funded are state pension systems?

	Funding Level
Alaska <sup>1</sup>	N/A
District of Columbia	118.3%
Washington	116%
New York	103.2%
Wisconsin	99.8%
South Dakota	96.3%
Delaware	96%
North Carolina	95.9%
Indiana <sup>2</sup>	94.7%
Tennessee	90.6%
Wyoming	87.5%
Georgia	87.2%
Florida	86.6%
Utah	85.7%
Oregon	83.2%
Texas	82.9%
Nebraska	82.4%
Iowa	80.8%
Virginia	80.2%
Arizona	79%
Idaho	78.9%
Michigan	78.9%
Minnesota	78.5%
California	78%
	77.7%
Missouri	75.1%
Pennsylvania	
Alabama	74.7%
Arkansas	73.8%
Nevada	71.2%
North Dakota	69.8%
South Carolina	67.8%
VERMONT	66.5%
Maine	65.9%
New Mexico	65.7%
Maryland	65.4%
Montana	65.4%
Colorado	64.8%
Mississippi	64.2%
Massachusetts	63%
Connecticut	61.4%
Hawaii	61.4%
Kentucky	61%
Ohio	59.1%
New Hampshire	58.5%
New Jersey	57.6%
Oklahoma	56.7%
Kansas	56%
Louisiana	54.4%
Illinois	48.4%
Rhode Island	48.4%
West Virginia	46.5%

<sup>1.</sup> Alaska has only a defined contribution pension system.

Indiana's current plan is 94.7 percent funded. However, when the current plan is combined with its closed plan, the funding level drops to 44.3 percent.

Figure 120 What is a reasonable rate for pension contributions?

- 4-7 percent each for teachers and districts in states participating in Social Security
- 10-13 percent each for teachers and districts in states not participating in Social Security

Analysts generally agree that workers in their 20's with no previous retirement savings should save, in addition to Social Security contributions, about 10-15 percent of their gross income in order to be able to live during retirement on 80 percent of the salary they were earning when they retired. While the recommended savings rate varies with age and existing retirement savings, NCTQ has used this 10-15 percent benchmark as a reasonable rate for its analyses. To achieve a total savings of 10-15 percent, teacher and employer contributions should each be in the range of 4-7 percent. In states where teachers do not participate in Social Security, the total recommended retirement savings (teacher plus employer contributions) is about 12 percent higher to compensate for the fact that these teachers will not have Social Security income when they retire. In order to achieve the appropriate level of total savings, teacher and employer contributions in these states should each be in the range of 10-13 percent.

### Sources:

http://www.schwab.com/public/schwab/resource\_center/expert\_insight/retirement\_strategies/planning/ how\_much\_should\_you\_save\_for\_retirement\_play\_ the\_percentages.html https://personal.vanguard.com/us/insights/retirement/ saving/set-retirement-goals

Figure 121

- 1. The employer contribution rate includes the contributions of both school districts and state governments, where appropriate.
- 2. The contribution rate is set to increase in future years. Some school districts in Georgia do not contribute to Social Security.
- 3. The contribution rate is set to increase in future years.
- 4. Michigan opened a new system in July 2010 and employer contributions are not yet reported.
- 5. New Jersey reports its contributions as a flat dollar amount, and a percentage could not be calculated.
- 6. The contribution rate is set to increase in future years. Most, but not all, school districts in Rhode Island contribute to Social Security.
- 7. The contribution rate is set to decrease in 2012.

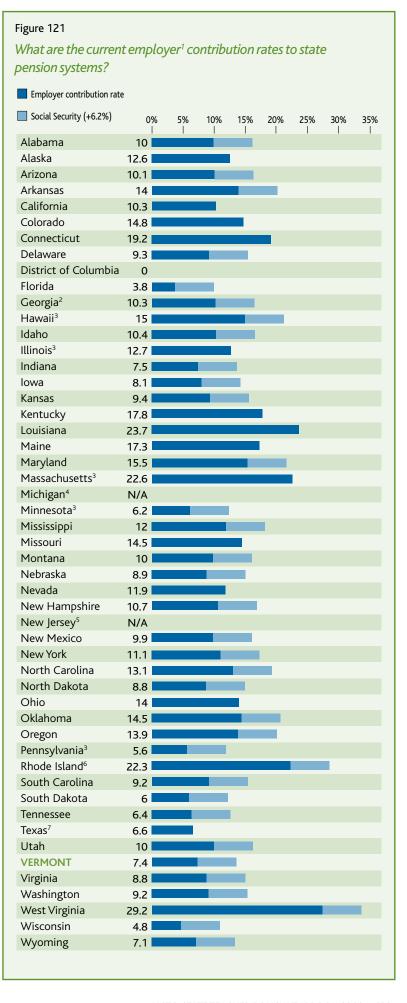
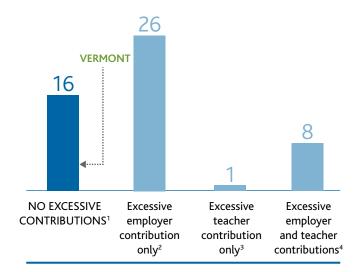


Figure 122

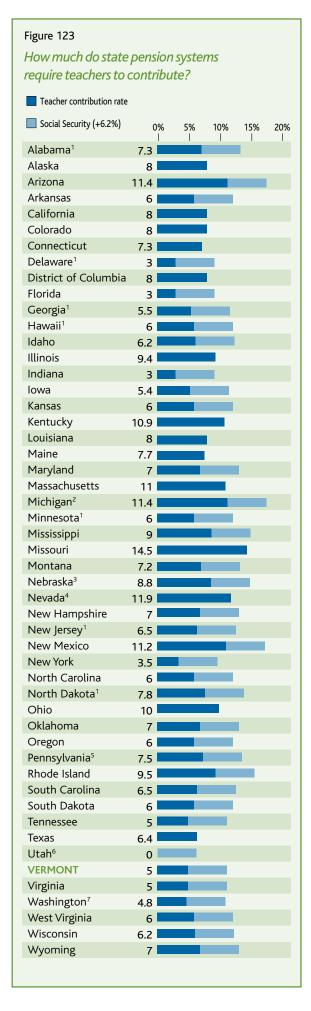
Do states require excessive contributions to their pension systems?



- Strong Practice: Alaska, California, Colorado, District of Columbia, Florida, Illinois, Indiana, Minnesota, Nevada, New Jersey<sup>5</sup>, South Dakota, Tennessee, Texas, Vermont, Wisconsin, Wyoming
- Alabama, Arkansas, Connecticut, Delaware, Georgia, Idaho, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Montana, New Hampshire, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, South Carolina, Utah, Virginia, Washington, West Virginia
- 3. Michigan<sup>6</sup>
- 4. Arizona, Hawaii, Mississippi, Missouri, Nebraska, New Mexico, Pennsylvania, Rhode Island
- While not excessive, the employer and state contribution are quite low. The most recent total employer contribution was only 5.4 percent of the actuarially-determined annual required contribution.
- Employer contribution rates to Michigan's new system have not yet been reported.

Figure 123

- 1. The contribution rate is set to increase in future years.
- Teachers contribute 9.4 percent to the defined benefit component and are automatically enrolled to contribute 2 percent to the defined contribution component; teachers may change the latter rate.
- 3. The contribution rate is set to increase in 2012 and decrease in 2014.
- 4. Teachers share in the employer contribution through salary reductions or foregoing equivalent pay raises.
- 5. For teachers hired after July 1, 2011, the contribution ranges from 7.5-12.3 based on a variety of factors.
- Teachers in the hybrid plan must make a mandatory contribution if the employer contribution does not cover system costs.
- 7. For the defined benefit plan; the rate varies for the defined contribution plan from a minimum of 5 percent.



# **Area 4: Delivering Well-Prepared Teachers**

## Goal I – Pension Neutrality

The state should ensure that pension systems are neutral, uniformly increasing pension wealth with each additional year of work.

### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- The formula that determines pension benefits should be neutral to the number of years worked. It should not have a multiplier that increases with years of service or longevity bonuses.
- 2. The formula for determining benefits should preserve incentives for teachers to continue working until conventional retirement ages. Eligibility for retirement benefits should be based on age and not years of service.

### **Background**



## Area 4: Goal I **Vermont** Analysis



State Does Not Meet Goal



Progress Since 2009

#### **ANALYSIS**

Vermont's pension system is based on a benefit formula that is not neutral, meaning that each year of work does not accrue pension wealth in a uniform way until members reach conventional retirement age, such as that associated with Social Security.

Teachers' retirement wealth is determined by their monthly payments and the length of time they expect to receive those payments. Monthly payments are usually calculated as final average salary multiplied by years of service multiplied by a set multiplier (such as 1.5). Higher salary, more years of service or a greater multiplier increases monthly payments and results in greater pension wealth. Earlier retirement eligibility with unreduced benefits also increases pension wealth, because more payments will be received.

To qualify as neutral, a pension formula must utilize a constant benefit multiplier and an eligibility time-table based solely on age, rather than years of service. Basing eligibility for retirement on years of service creates unnecessary and often unfair peaks in pension wealth, while allowing unreduced retirement at a young age creates incentives to retire early. Plans that change their multipliers for various years of service do not value each year of teaching equally. Therefore, plans with a constant multiplier and that base retirement on an age in line with Social Security are likely to create the most uniform accrual of wealth.

Vermont's pension plan no longer utilizes a constant benefit multiplier. The multiplier is 1.67 percent for years of service 1-20 and 2 percent for each year after 20. In addition, teachers may retire before standard retirement age based on years of service without a reduction in benefits. Teachers who were not within five years of retirement as of June 30, 2010, may retire when they qualify for the "Rule of 90," meaning their age plus years of service equal 90, while other vested teachers may not retire until age 65. Therefore, teachers who begin their careers at age 22 can reach the "Rule of 90" with 34 years of service by age 56, entitling them to nine additional years of unreduced retirement benefits beyond what other teachers would receive who may not retire until age 65. Teachers who were within five years of retirement as of June 30, 2010, are able to retire with 30 years of service or at age 62. Not only are teachers being paid benefits by the state well before Social Security's retirement age, but these provisions also may encourage effective teachers to retire early, and they fail to treat equally those teachers who enter the system at a later age and give the same amount of service.

### **Supporting Research**

The Vermont State Teachers' Retirement System, Group C Plan Description

http://www.vermonttreasurer.gov/retirement/teachers-group-c#intro

The Vermont State Teachers' Retirement System, Changes to Pension Benefits May 2010

http://www.vermonttreasurer.gov/sites/treasurer/files/pdf/retireTeacher/misc/010\_-\_Act\_74\_-\_Informational\_Mailing\_-\_final.pdf

#### **RECOMMENDATION**

Utilize a constant benefit multiplier to calculate retirement benefits for all teachers, regardless of years of service.

Each year of service should accrue equal pension wealth. Vermont should use a pension formula that treats each year of service equally.

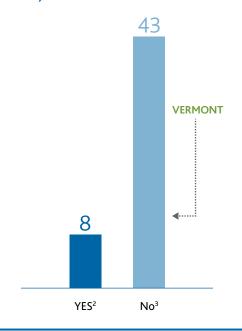
- End retirement eligibility based on years of service.
  - Vermont should change its practice of allowing teachers whose age and years of service equal 90 to retire early with full benefits. If retirement at an earlier age is offered to some teachers, benefits should be reduced accordingly to compensate for the longer duration they will be awarded.
- Align eligibility for retirement with unreduced benefits with Social Security retirement age. Vermont allows all teachers to retire before conventional retirement age, some as young as 56. As life expectancies continue to increase, teachers may draw out of the system for many more years than they contributed. This is not compatible with a financially sustainable system (see Goal 4-H).

#### **VERMONT RESPONSE TO ANALYSIS**

The State Teachers' Retirement System of Vermont did not respond to repeated requests to review NCTQ's analyses related to teacher pensions.

Figure 125

Do states base retirement eligibility on age, which is fair to all teachers?<sup>1</sup>



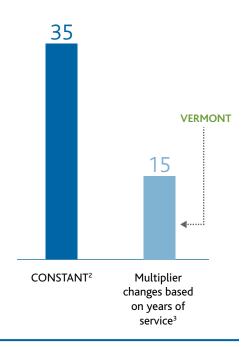
- 1. This only refers to determining retirement eligibility, not retirement benefits.
- 2. Strong Practice: Alaska, Illinois, Louisiana, Maine, Michigan, Minnesota, New Hampshire, New Jersey
- 3. Alabama, Arizona, Arkansas, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Idaho, Indiana, Iowa, Kansas, Kentucky, Maryland, Massachusetts, Mississippi, Missouri, Montana, Nebraska, Nevada, New Mexico, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, Utah, Vermont, Virginia, West Virginia, Washington, Wisconsin, Wyoming

Figure 126

- 1. All calculations are based on a teacher who starts teaching at age 22, earns a starting salary of \$35,000 that increases 3 percent per year, and retires at the age s/he is first eligible for unreduced benefits. The calculations use states' current benefit formulas and do not include cost of living increases. The final average salary was calculated as the average of the highest three years of salary, even though a few states may vary from that standard. Age 65 was used as a point of comparision because it is the miminum eligibility for unreduced Social Security benefits.
- 2. Does not apply to Alaska's defined contribution plan.
- 3. Minnesota provides unreduced retirement benefits at the age of full Social Security benefits or age 66, whichever comes first.
- California's formula has many options for retirement. A teacher with 40 years of experience at age 62 would reach Califorina's maximum allowable multiplier of 2.4 percent.
- 5. Age 60 is the earlier teachers hired on or after July 1, 2012 may retire. Teachers hired prior to this point may retire at age 55.
- Massachusetts's formula has many options for retirement. A teacher with 35 years
  of experience at age 57 would reach Massachusetts's maximum allowable benefit
  of 80 percent.

Figure 126  How much do states pay for each teacher that retires with	Total amount in benefits paid retirentee from the benefits paid mutumit de sine of	Farliest retriement are teaching at age that receive Umeduced benay
unreduced benefits at an early age? <sup>1</sup>	Total amount in Per teacher from i retirement until ag	Earliest, a teacher teaching a eceive unr
Alaska <sup>2</sup>		`
Illinois	\$0	67
Maine	\$0	65
Minnesota <sup>3</sup>	\$0	66
New Hampshire	\$0	65
New Jersey	\$0	65
Washington	\$0	65
Tennessee	\$238,654	52
Michigan	\$289,187	60
California <sup>4</sup>	\$310,028	62
Indiana	\$317,728	55
Hawaii <sup>5</sup>	\$337,385	60
Kansas	\$337,385	60
Oregon	\$361,536	58
North Dakota	\$385,583	60
Oklahoma	\$385,583	60
Maryland Wisconsin	\$413,808	56
Rhode Island	\$416,007	57
New York	\$430,013 \$440,819	59 57
Texas	\$443,421	60
South Dakota	\$447,707	55
Virginia	\$468,982	56
Louisiana	\$481,979	60
Florida	\$485,257	55
VERMONT	\$486,832	56
Montana	\$518,228	47
Connecticut	\$520,009	57
Utah	\$520,009	57
lowa	\$551,428	55
Idaho	\$551,743	56
North Carolina	\$568,555	52
South Carolina	\$577,142	50
Nebraska	\$577,687	55
West Virginia	\$577,687	55
Delaware	\$577,927	52
District of Columbia	\$585,737	52
Massachusetts <sup>6</sup>	\$594,296	57
Georgia	\$624,786	52
Mississippi	\$624,786	52
Alabama	\$625,747	47
Colorado	\$650,011	57
Pennsylvania	\$650,011	57
Wyoming	\$655,506	54
Arkansas	\$664,340	55
Arkansas Ohio	\$681,789	50
New Mexico	\$687,265	52
Nevada	\$734,124 \$780,983	52 52
Missouri	\$780,983 \$789,343	52 51
Kentucky	\$791,679	49
	7131,013	13

Figure 127
What kind of multiplier do states use to calculate retirement benefits?<sup>1</sup>



- 1. Alaska has a defined contribution plan, which does not have a benefit multiplier.
- 2. Strong Practice: Alabama, Arkansas, Colorado, Delaware, District of Columbia, Georgia, Hawaii, Idaho, Illinois, Indiana, Kansas, Louisiana, Maine, Maryland, Michigan, Minnesota, Montana, Nebraska, Nevada, New Jersey, New Mexico, North Carolina, North Dakota, Oklahoma, Oregon, Pennsylvania, South Carolina, South Dakota, Tennessee, Texas, Utah, Virginia, Washington, West Virginia, Wisconsin
- 3. Arizona, California, Connecticut, Florida, Iowa, Kentucky, Massachusetts, Mississippi, Missouri, New Hampshire, New York, Ohio, Rhode Island, Vermont, Wyoming



### TEXAMPLES OF BEST PRACTICE

Alaska offers a defined contribution pension plan that is neutral, with pension wealth accumulating in an equal way for all teachers for each year of work. In addition, Illinois, Minnesota and New Jersey offer a defined benefit plan with a formula multiplier that does not change relative to years of service and does not allow unreduced benefits for retirees below age 65. Illinois and New Jersey are further commended for ending their previous practices of allowing teachers to retire well before Social Security age without a reduction in benefits.

#### Figure 128

## Double-Dipping: Cure the Disease, Not the Symptom

Benefit recipients in teacher pension plans have recently been under scrutiny for "double-dipping," when individuals receive a pension and salary at the same time. This can occur when teachers reach retirement eligibility, yet wish to keep working without losing pension wealth. Teachers can retire, start receiving their monthly benefits and then return to teaching. The restrictions on a teacher's ability to return to work vary from state to state. Policies can include waiting periods, limitations on earnings or restrictions to working in difficult-to-fill positions.

Some descriptions portray teachers working while collecting their pensions as greedy or somehow taking advantage, when in fact they are just following the system that is in place. When a teacher reaches retirement eligibility in a defined benefit system, her pension wealth peaks and, after that, wealth accrual slows or even decreases because every year a teacher delays retirement, she loses a year of pension benefits. For example, if a teacher could retire with 60 percent of her salary at age 56, then every year she teaches past that point she is, in effect, working for only 40 percent of her pay because she is not receiving her pension. This puts relatively young teachers and the districts who wish to retain them in a difficult position. Districts want to keep effective teachers in schools, but the financial reality for teachers is hard to pass up.

Retirees returning to work are also an issue for defined benefit pension system funding because contributions are not being made to the system that would be made if those positions were held by non-retirees. This adds to the funding imbalances that many states' defined benefit systems face.

Some states have created Deferred Retirement Option Plans (DROP) in which retirees can have their benefits placed in a savings account while they return to work and, once they retire again, they can receive the lump sum in their DROP accounts and resume their monthly benefits.

Returning to work would not be a large policy issue if systems did not allow teachers to retire with unreduced benefits at such relatively young ages and if pension wealth accrual were more neutral. An effective teacher should be able to keep teaching and at the same time know that her pension wealth will not erode. More systemic fixes—like the ones outlined in the *Yearbook*—are needed. Calls to prohibit double-dipping are not addressing the real problem.

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VERMONT

# **Area 5: Exiting Ineffective Teachers**

## Goal A – Licensure Loopholes

The state should close loopholes that allow teachers who have not met licensure requirements to continue teaching.

## **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- Under no circumstances should a state award a standard license to a teacher who has not passed all required subject-matter licensing tests.
- If a state finds it necessary to confer conditional or provisional licenses under limited and exceptional circumstances to teachers who have not passed the required tests, the state should ensure that requirements are met within one year.

### **Background**



# Area 5: Goal A **Vermont** Analysis



State Meets a Small Part of Goal



Progress Since 2009

#### **ANALYSIS**

Vermont allows teachers who have not met licensure requirements to teach under a two-year provisional license if local superintendents cannot find a qualified applicant for a position. Applicants must either be licensed in another state, possess an expired Vermont teaching license, have a major in their teaching field or have passed a content assessment in their teaching field.

The state also allows superintendents who cannot find a qualified applicant for a teaching position to apply for a nonrenewable, one-year emergency license for an individual who holds a bachelor's degree.

#### **Supporting Research**

Vermont Standards Board for Professional Educators 5351 and 5360-5364

 $http://education.vermont.gov/new/pdfdoc/pgm\_prostandards/vsbpe/rules/educ\_5100\_licensing\_regulations. pdf\#page=10$ 

#### RECOMMENDATION

Ensure that all teachers pass required subject-matter licensing tests before they enter the classroom.

All students are entitled to teachers who know the subject matter they are teaching. Permitting individuals who have not yet passed state licensing tests to teach neglects the needs of students, instead extending personal consideration to adults who may not be able to meet minimal state standards. Even though all four of Vermont's provisional license requirements ensure at least some level of content-knowledge, the state should require that all teachers pass licensing tests—an important minimum benchmark for entering the profession—before entering the classroom.

Limit exceptions to one year.

There might be limited and exceptional circumstances under which conditional or emergency licenses need to be granted. In these instances, it is reasonable for a state to give teachers up to one year to pass required licensing tests. Vermont's current policy puts students at risk by allowing teachers to teach on a provisional license for two years without passing required licensing tests.

### **VERMONT RESPONSE TO ANALYSIS**

Vermont recognized the factual accuracy of this analysis.

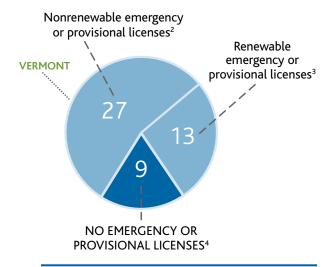
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VERMONT



**Colorado, Illinois, Mississippi,** and **New Jersey** require all new teachers to pass all required subject-matter tests as a condition of initial licensure.

Figure 130

Do states still award emergency licenses?<sup>1</sup>



- Not applicable to Montana and Nebraska, which do not require subject matter testing.
- Alabama, Alaska, Arkansas, California, Connecticut, Delaware, District of Columbia, Florida, Georgia, Idaho, Iowa, Kansas, Kentucky, Maryland, Massachusetts, New Hampshire, New York, North Carolina, North Dakota<sup>5</sup>, Ohio<sup>5</sup>, Oklahoma, Oregon, Rhode Island, Vermont, Washington, West Virginia, Wyoming
- 3. Arizona, Hawaii, Indiana, Louisiana, Maine, Michigan, Minnesota, Missouri, Pennsylvania, South Dakota, Tennessee, Texas, Wisconsin
- 4. Strong Practice: Colorado, Illinois, Mississippi, Nevada, New Jersey, New Mexico, South Carolina, Utah, Virginia
- 5. License is renewable, but only if licensure tests are passed.

Figure 131

- 1. Iowa only requires subject-matter testing for elementary teachers.
- 2. Montana does not require subject-matter testing.
- 3. Nebraska does not require subject-matter testing.
- 4. There is a potential loophole in Utah, as alternate route teachers appear able to delay passage of subject-matter tests.
- 5. Wyoming only requires subject-matter testing for elementary and social studies teachers.

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### **Area 5: Exiting Ineffective Teachers**

### Goal B – Unsatisfactory Evaluations

The state should articulate consequences for teachers with unsatisfactory evaluations, including specifying that teachers with multiple unsatisfactory evaluations should be eligible for dismissal.

#### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- The state should require that all teachers who receive a single unsatisfactory evaluation be placed on an improvement plan, whether or not they have tenure.
- The state should require that all teachers who receive two consecutive unsatisfactory evaluations or two unsatisfactory evaluations within five years be formally eligible for dismissal, whether or not they have tenure.

#### Background

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.



### Area 5: Goal B **Vermont** Analysis



State Does Not Meet Goal



Progress Since 2009

#### **ANALYSIS**

Vermont does not have a policy regarding teachers who receive unsatisfactory evaluations.

#### **RECOMMENDATION**

Require that all teachers who receive unsatisfactory evaluations be placed on improvement plans.

Vermont should adopt a policy requiring that teachers who receive even one unsatisfactory evaluation be placed on structured improvement plans. These plans should focus on performance areas that directly connect to student learning and should list noted deficiencies, define specific action steps necessary to address these deficiencies and describe how and when progress will be measured.

■ Make eligibility for dismissal a consequence of unsatisfactory evaluations.

Teachers who receive two consecutive unsatisfactory evaluations or have two unsatisfactory evaluations within five years should be formally eligible for dismissal, regardless of whether they have tenure. Vermont should adopt a policy that ensures that teachers who receive such unsatisfactory evaluations are eligible for dismissal.

#### **VERMONT RESPONSE TO ANALYSIS**

Vermont recognized the factual accuracy of this analysis.

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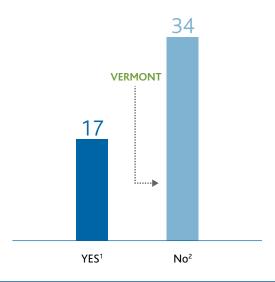
- Teachers could face nonrenewal based on evaluation results, but it is not clear that a teacher is eligible for dismissal after multiple unsatisfactory evaluations.
- While results of evaluations may be used in dismissal decisions, there are no specific criteria for a teacher's eligibility for dismissal.
- 3. Improvement plans are only used for teachers in identified "Schools At Risk." Those same teachers are also eligible for dismissal for multiple unsatisfactory evaluations.
- 4. A teacher reverts to probationary status after two consecutive years of unsatisfactory evaluations, but it is not clear that a teacher is eligible for dismissal.
- 5. Teachers in low performing schools can be dismissed after one negative rating.
- Local school boards must include procedures for using evaluation results for the removal of poorly performing teachers.

### **\*** EXAMPLES OF BEST PRACTICE

Illinois and Oklahoma both require that teachers who receive unsatisfactory evaluations be placed on improvement plans. Teachers in Illinois are then evaluated three times during a 90-day remediation period and are eligible for dismissal if performance remains unsatisfactory. In addition, new legislation in Illinois allows districts to dismiss a teacher without going through the remediation process if that teacher has already completed a remediation plan but then receives an unsatisfactory rating within the next three years. Oklahoma's improvement plan may not exceed two months, and if performance does not improve during that time, teachers are eligible for dismissal.

Figure 134

Do states specify that all teachers with multiple unsatisfactory evaluations are eligible for dismissal?



- Strong Practice: Alaska, Arkansas, Colorado, Delaware, Florida, Hawaii, Illinois, Indiana, Louisiana, Michigan, New Mexico, New York, Oklahoma, Pennsylvania, Rhode Island, Tennessee, Washington
- 2. Alabama, Arizona, California, Connecticut, District of Columbia, Georgia, Idaho<sup>3</sup>, Iowa, Kansas, Kentucky, Maine, Maryland, Massachusetts, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada<sup>4</sup>, New Hampshire, New Jersey, North Carolina, North Dakota, Ohio, Oregon, South Carolina, South Dakota, Texas, Utah, Vermont, Virginia, West Virginia, Wisconsin, Wyoming
- 3. Teachers could face nonrenewal based on evaluation results, but it is not clear that a teacher is eligible for dismissal after multiple unsatisfactory evaluations.
- 4. A teacher reverts to probationary status after two consecutive years of unsatisfactory evaluations, but it is not clear that a teacher is eligible for dismissal.

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### **Area 5: Exiting Ineffective Teachers**

### Goal C – Dismissal for Poor Performance

The state should articulate that ineffective classroom performance is grounds for dismissal and ensure that the process for terminating ineffective teachers is expedient and fair to all parties.

#### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

- 1. The state should articulate that teachers may be dismissed for ineffective classroom performance.
- 2. A teacher who is terminated for poor performance should have an opportunity to appeal. In the interest of both the teacher and the school district, the state should ensure that this appeal occurs within a reasonable time frame.
- 3. There should be a clear distinction between the process and accompanying due process rights for teachers dismissed for classroom ineffectiveness and the process and accompanying due process rights for teachers dismissed or facing license revocation for felony or morality violations or dereliction of duties.

#### Background

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.



### Area 5: Goal C **Vermont** Analysis



State Does Not Meet Goal



**Progress Since 2009** 

#### **ANALYSIS**

In Vermont, tenured teachers who are terminated have at least one opportunity to appeal. After receiving written notice of dismissal, the teacher may—within 15 days—request a hearing. The state does not articulate a specific time frame for the hearing. It is not clear whether the decision of this hearing is final or if a second appeal is possible.

Vermont does not explicitly make teacher ineffectiveness grounds for dismissal, nor does the state distinguish the due process rights of teachers dismissed for ineffective performance from those facing other charges commonly associated with license revocation, such as a felony and/or morality violations. The process is the same regardless of the grounds for cancellation, which the state articulates vaguely as "just and sufficient cause."

#### **Supporting Research**

Vermont Statute Title 16, Chapter 53, Section 1752

#### **RECOMMENDATION**

- Specify that classroom ineffectiveness is grounds for dismissal.
  - "Just and sufficient cause" is ambiguous at best and may be interpreted as concerning dereliction of duty rather than ineffectiveness. Vermont should explicitly make teacher ineffectiveness grounds for dismissal so that districts do not feel they lack the legal basis for terminating consistently poor performers.
- Ensure that teachers terminated for poor performance have the opportunity to appeal within a reasonable time frame.
  - Nonprobationary teachers who are dismissed for any grounds, including ineffectiveness, are entitled to due process. However, cases that drag on for years drain resources from school districts and create a disincentive for districts to attempt to terminate poor performers. Therefore, Vermont must ensure that the opportunity to appeal occurs only once and only at the district level. It is in the best interest of both the teacher and the district that a conclusion be reached within a reasonable time frame.
- Distinguish the process and accompanying due process rights between dismissal for classroom ineffectiveness and dismissal for morality violations, felonies or dereliction of duty. While nonprobationary teachers should have due process for any termination, it is important to differentiate between loss of employment and issues with far-reaching consequences that could permanently impact a teacher's right to practice. Vermont should ensure that appeals related to classroom effectiveness are only decided by those with educational expertise.

#### **VERMONT RESPONSE TO ANALYSIS**

Vermont recognized the factual accuracy of this analysis.

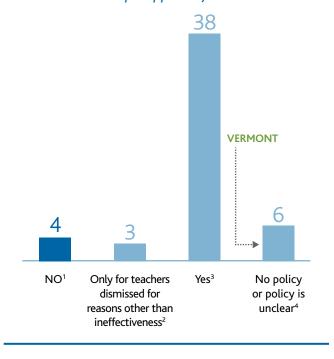




#### TEXAMPLES OF BEST PRACTICE

Oklahoma clearly articulates that teacher ineffectiveness in the classroom is grounds for dismissal and has taken steps to ensure that the dismissal process for teachers deemed to be ineffective is expedited. Teachers facing dismissal have only one opportunity to appeal.

Figure 137 Do states allow multiple appeals of teacher dismissals?



- 1. Strong Practice: Florida, Louisiana, Oklahoma, Wisconsin
- 2. Teachers in these states revert to probationary status following ineffective evaluation ratings, meaning that they no longer have the due process right to multiple appeals: Colorado, Indiana, Tennessee
- 3. Alabama, Alaska, Arizona, Arkansas, California, Connecticut, Delaware, Georgia, Hawaii, Idaho, Illinois<sup>5</sup>, Iowa, Kansas, Kentucky, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Ohio, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Texas, Virginia, Washington, West Virginia, Wyoming
- 4. District of Columbia, Maine, Nebraska, Nevada<sup>6</sup>, Utah, Vermont
- 5. The teacher is responsible for the cost of the second appeal.
- 6. Though a teacher returns to probationary status after two consecutive unsatisfactory ratings, the state does not articulate clear policy about its appeals process.

- 1. It is left to districts to define "inadequacy of classroom performance."
- 2. A teacher reverts to probationary status after two consecutive years of unsatisfactory evaluations, but it is not articulated that ineffectiveness is grounds for dismissal.
- 3. Dismissal policy includes dismissal for unsatisfactory evaluations, but the state's evaluation system does not measure teacher effectiveness (see Goal 3-B).

### **Area 5: Exiting Ineffective Teachers**

### Goal D – Reductions in Force

The state should require that its school districts consider classroom performance as a factor in determining which teachers are laid off when a reduction in force is necessary.

#### **Goal Components**

(The factors considered in determining the states' rating for the goal.)

1. The state should require that districts consider classroom performance and ensure that seniority is not the only factor used to determine which teachers are laid off.

#### **Background**

A detailed rationale and supporting research for this goal can be found at www.nctq.org/stpy.



## Area 5: Goal D **Vermont** Analysis



State Does Not Meet Goal



Progress Since 2009

#### **ANALYSIS**

Vermont does not have policy that addresses the factors used by districts to determine which teachers are laid off during a reduction in force.

#### **RECOMMENDATION**

Require that districts consider classroom performance as a factor in determining which teachers are laid off during reductions in force.

Vermont can still leave districts flexibility in determining layoff policies, but it should do so within a framework that ensures that classroom performance is considered.

■ Ensure that seniority is not the only factor used to determine which teachers are laid off. Unlike some states, Vermont does not require that districts consider seniority; however, the state should do more to prevent districts from making decisions solely on this basis.

#### **VERMONT RESPONSE TO ANALYSIS**

Vermont recognized the factual accuracy of this analysis.

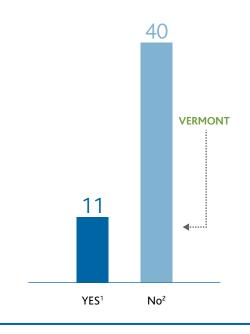
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#### **TEXAMPLES OF BEST PRACTICE**

Colorado, Florida and Indiana all specify that in determining which teachers to lay off during a reduction in force, classroom performance is the top criterion. These states also articulate that seniority can only be considered after a teacher's performance is taken into account.

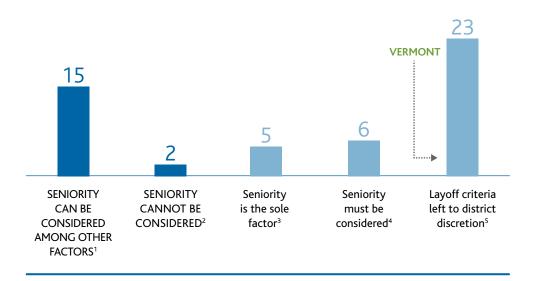
Figure 140 Do districts have to consider performance in determining which teachers are laid off?



- 1. Strong Practice: Colorado, Florida, Illinois, Indiana, Michigan, Missouri, Oklahoma, Rhode Island, Tennessee, Texas, Utah
- 2. Alabama, Alaska, Arizona, Arkansas, California, Connecticut, Delaware, District of Columbia, Georgia, Hawaii, Idaho, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Minnesota, Mississippi, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Ohio<sup>3</sup>, Oregon, Pennsylvania, South Carolina, South Dakota, Vermont, Virginia, Washington, West Virginia, Wisconsin, Wyoming
- 3. Tenure is considered first.

Figure 141

Do states prevent districts from overemphasizing seniority in layoff decisions?



- 1. Strong Practice: Arizona, Colorado, Florida, Illinois, Indiana, Maine, Michigan, Missouri<sup>6</sup>, Nevada, New Hampshire, Ohio<sup>6</sup>, Oklahoma, Rhode Island, Tennessee, Texas
- 2. Strong Practice: Idaho, Utah
- 3. Hawaii, New York, Pennsylvania, West Virginia, Wisconsin<sup>7</sup>
- 4. California, Kentucky, Louisiana, Minnesota, New Jersey, Oregon
- 5. Alabama, Alaska<sup>6</sup>, Arkansas, Connecticut, Delaware, District of Columbia, Georgia<sup>6</sup>, Iowa, Kansas, Maryland, Massachusetts<sup>6</sup>, Mississippi, Montana, Nebraska<sup>6</sup>, New Mexico, North Carolina, North Dakota, South Carolina, South Dakota, Vermont, Virginia, Washington, Wyoming
- 6. Nontenured teachers are laid off first.
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